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2 3	Stage 1 Registered Report
4	Estimating the Effect of Reward on Sleep-
5	<b>Dependent Memory Consolidation – A</b>
6	Registered Report
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#### 22 Abstract

Rewards play an important role in guiding which memories are formed. Dopamine has 23 been shown to be an important neuromodulator mediating the effect of rewards on memory. 24 In rodents dopaminergic activity during learning has been shown to enhance reactivation of 25 memory traces during sleep, the mechanism driving the benefits of sleep on consolidation. 26 However, evidence that sleep consolidates high reward memories more strongly in humans is 27 mixed and small samples sizes (among other factors) likely drive these inconsistencies. 28 29 Therefore, we will compare memory for rewarded information between intervals of sleep and wake in a large representative online sample. Participants (N = 1750; stratified German 30 sample) will study images associated with high and low rewards and complete a memory test 31 32 directly afterwards as well as after retention. Our main prediction is that sleep will enhance the retention of high over low reward images compared to wake. In general, we also expect 33 34 sleep to enhance retention (evident through a reduced decrease in performance compared to wake) and rewards to improve memory. This study will reveal whether sleep facilitates 35 36 selective consolidation or whether processes at encoding and shortly thereafter suffice. 37 Additionally, it will provide a benchmark effect size to evaluate sleep-based interventions for psychiatric disorders (e.g., addiction). It will also allow us to explore moderators of the 38 effect, such as age and education level. 39

40

Keywords: Sleep, reward, memory consolidation

41 *Word count:* 13969

43

# 44 Introduction

An accumulation of evidence indicates that sleep actively supports the stabilization and 45 transformation of long-term memory<sup>1-3</sup> and for the most part studies have demonstrated that 46 sleep compared to wakefulness benefits memory across declarative and procedural tasks e.g., 4,5-47 <sup>15,but see 16,17–19</sup>. The preferred explanation for the benefits of sleep on long term memory are 48 attributed to active systems consolidation, but alternative explanations for the impact of sleep 49 on memory do exist (e.g., Passive Interference Reduction Hypothesis<sup>20</sup>, Opportunistic 50 Consolidation<sup>21</sup>). The active systems consolidation hypothesis posits that the associative 51 connections between elements of new information are encoded by the hippocampus and over 52 time these connections are redistributed to the neo-cortex via systems consolidation<sup>22</sup>. This 53 redistribution of information is thought to preferentially occur during sleep, whereby memory 54 traces that were encoded throughout prior wakefulness are replayed repeatedly and thereby 55 strengthened, although it should be noted that replay also occurs during wakefullness<sup>23,24</sup>. 56 During active systems consolidation, sleep specific brain activity and especially the activity of 57 hallmark oscillations (slow oscillations, hippocampal ripples and sleep spindles) that putatively 58 coordinate this replay are thought to drive greater memory performance in those tasks see 25,26-59 <sup>27,28,29, but also see 30,31</sup>. The limited availability of these reactivation opportunities during sleep<sup>32,33</sup> 60 suggests the selective consolidation of only relevant information, e.g., rewarded information<sup>2</sup>. 61 However, it has not yet conclusively been shown that memories associated with a reward are 62 consolidated more strongly during sleep. 63

Reward plays an important role in memory <sup>34–42, for a review see 43</sup>. In the declarative domain, its role has been demonstrated in humans using the motivated learning task. In that task, stimuli associated with a high or low reward are presented to participants and corresponding rewards are paid out for subsequent successful retrieval<sup>34</sup>. Researchers have consistently shown that 68 memory for items associated with higher reward is greater than for those associated with lower rewards in humans<sup>34–39</sup>. Often such studies include a period of sleep, implicating the role of 69 sleep in consolidation of reward associated memories<sup>35,41,42, 44</sup> and consolidation of highly 70 rewarded information has been linked to sleep spindle activity.<sup>38,42</sup>. This link to spindle activity 71 during sleep suggests that sleep and reward fundamentally interact to consolidate 72 motivationally relevant information indicating that reward plays a crucial role even long after 73 encoding has taken place. However, the precise mechanism and time-frame by which sleep 74 benefits reward memories remains ambiguous. 75

76 At encoding, dopamine modulates memory performance by recruiting reward areas in a ventral-striatum-ventral-tegmental-area-hippocampus feedback loop.<sup>45</sup> Using the Motivated 77 Learning Task (in humans) a landmark study demonstrated that high reward cues activated the 78 nucleus accumbens (located in the ventral striatum), the ventral tegmental area and the 79 hippocampus during encoding<sup>34</sup>. Hippocampus activity was functionally coupled with activity 80 in the ventral striatum and this predicted subsequent memory performance for high reward 81 items. Behaviorally, this effect manifested as greater memory performance for high vs. low 82 rewards at high levels of confidence. Regarding sleep, there is no consensus whether sleep 83 enhances rewarded memories through additional dopaminergic neuromodulation during 84 reactivation<sup>41,45,46</sup> or rather dopamine sets a tag during learning that leads to enhanced 85 reactivation without additional dopaminergic neuromodulation<sup>40</sup>. Before answering this, it is 86 first necessary to establish behaviorally whether or not sleep preferentially consolidates highly 87 rewarded memories over lowly rewarded memories. Only then can the underlying neuronal 88 89 mechanisms be characterized.

90 Independent of the putative underlying neurophysiological mechanisms, in humans,91 evidence is inconclusive, overall, regarding sleep's role for rewarded memories. Several

studies did not find that rewards enhance sleep-dependent memory consolidation.<sup>10,47,48</sup> In one 92 experiment, participants were asked to learn object locations associated with high or low 93 reward and were tested on those locations after a nap or a period of wakeful rest.<sup>47</sup> No 94 difference in the magnitude of memory for high and low rewards was found between the 95 napping and wakeful conditions. The absence of this effect is not uncommon and even extends 96 97 to comparisons of a full night of sleep with typical daytime wakefulness and across recognition memory and verbal free recall tasks<sup>10,48</sup>. Nevertheless, sleep was still found to benefit memory 98 overall. 99

This conflicts with another study using a procedural finger sequence tapping task.<sup>49</sup> In 100 that study sleep preferentially consolidated highly rewarded sequences relative to a period of 101 wakefulness. That finding was corroborated by another a study using a recognition memory 102 task where a retention interval including a nap yielded greater memory for highly rewarded 103 items compared to lowly rewarded items and this difference was not present in an equivalent 104 wake condition<sup>50</sup>. However, in the latter experiment, there was no significant interaction 105 between those groups, which despite the authors' conclusions would be necessary to conclude 106 that high vs low reward items are preferentially consolidated during sleep<sup>51</sup>. One study found 107 that the benefits of sleep on reward compared to wake may only unfold after much longer 108 periods, which could allow further consolidation processes to take place<sup>44</sup>. 109

Mutually exclusive theoretical conclusions from these studies can be drawn by ignoring the respective evidence that is not in their favor. Either sleep selectively consolidates information associated with high rewards<sup>2</sup> or reward related processes during encoding together with sleep-independent consolidation processes initiated shortly after learning are sufficient to enhance reward memory.<sup>21</sup> A third possibility is that consolidation does not affect reward related differences in memory performance and the difference are only due to encoding

processes. Like for other memories it is evident that sleep is involved in the consolidation of rewarded memories per se, yet it is unclear whether sleep specifically enhances differences in memory performance based on reward amplitude (e.g., high vs. low rewarded information).

On the one hand, one could attempt to explain the divergent findings by evaluating the 119 large number of differences in experimental designs (e.g., recognition vs recall, images vs 120 words, napping vs 12-hours of sleep etc.). Here, one would conclude that the enhancement of 121 sleep's beneficial effect by reward is sensitive to a host of moderators, as has been discussed 122 for other inconsistencies in the field. For instance, mode of retrieval (e.g., free recall 123 vs. recognition), mode of learning (e.g., implicit vs. explicit), material learned (e.g., declarative 124 vs. procedural) and the timing of sleep (e.g., delay between learning and sleep onset) are all 125 thought to moderate the sleep effect<sup>52</sup>. Such views have recently been reiterated in an 126 assessment of the robustness of the sleep effect on memory<sup>53</sup>. However, this explanation leads 127 to the unsatisfactory conclusion that the enhancement of sleep's beneficial effect on memory 128 by reward is sensitive to moderators that were not systematically controlled in many of these 129 studies. On the other hand, there exists a striking similarity between all of these experiments: 130 low statistical power (maximum n = 20 per group)<sup>e.g., 10, 30, 40-42,44,47,49,50</sup>. Small samples have 131 been shown to reduce generalizability, increase false negatives as well as false positives and 132 can overestimate effect sizes<sup>54,555</sup>, which may be the source of divergence. An argument that 133 was sympathetically pointed out in a recent systematic review of the sleep and reward memory 134 literature<sup>56</sup>. 135

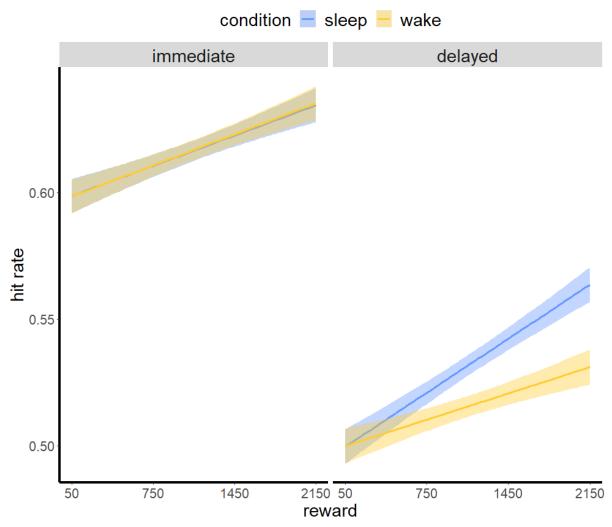
Our study will address this divergence by performing a large-scale investigation of the influence of rewards on sleep-dependent memory consolidation in the general population and asks the question: do rewards affect the magnitude of sleep-dependent memory consolidation? It is highly relevant to understand the impact of sleep on rewarded information since it guides

140 (mal-) adaptive behavior such as unhealthy eating, smoking or alcohol consumption. Reward related learning mechanisms and other dopamine related plastic changes in the brain have been 141 proposed to play a crucial role for establishing addictive behavior<sup>57</sup>. However, it remains 142 unclear whether sleep-dependent consolidation of drug taking experiences occurs. Showing 143 that sleep has a unique and sizable role for preferentially consolidating rewarded memory in 144 the general population may fuel systematic investigations and targeted sleep interventions to 145 better understand and treat, e.g., substance abuse and anxiety disorders. One such intervention 146 may make use of the targeted memory reactivation procedure<sup>58</sup>, where cues are used to 147 148 reactivate memories during sleep. In some scenarios cueing during sleep has been shown to extinguish conditioned fear responses<sup>59</sup> and therefore extinguishing addictive behavior during 149 sleep by using appropriate cues may be promising. 150

We will implement the AM:PM-PM:AM design in an online testing environment to 151 collect a large sample of representative participants effectively, a strategy that has been 152 successfully used by us before in a previous large-scale registered report in sleep and memory 153 research<sup>17</sup>. In the AM:PM PM:AM design, participants undergo a wake condition, where the 154 learning phase occurs in the morning (AM) and the test phase occurs in the evening (PM) on 155 156 the same day. Participants also undergo a sleep condition, where the learning phase occurs in the evening (PM) and the test phase occurs the following morning (AM). In recent years 157 researchers investigating the impact of sleep on memory have begun using web-based 158 alternatives by performing online sleep experiments<sup>60,61</sup>. It should be noted that generally such 159 experiments do not appear to limit the capacity to detect the impact of sleep on memory. 160 Reward memory will be measured using a paradigm adapted from earlier studies<sup>34,40,41</sup> and 161 recently validated in our laboratory to yield positive effects of reward on memory performance 162 https://cloud.zi-163 (see supplementary material: mannheim.de/index.php/s/jDnY35CM4WMdQCg), where participants (N = 1750) will study 164

images associated with high to low rewards and will retain them across sleep and wakefulness.
This paradigm uses a recognition task to measure memory performance and although
recognition tasks have been shown to be somewhat less sensitive to the effect of sleep on
memory than free or cued recall procedures<sup>62</sup> our power analysis indicates that we have
sufficient power.

We predict (see Figure 1 and Table 1), H1) that sleep will yield greater retention 170 compared to an equivalent period of wake (although we expect a general decline in 171 performance across retention); H2) that items associated with high rewards will be better 172 retained compared to those associated with low rewards; H3) the magnitude of the decline 173 of high reward memories will be less in the sleep condition compared to the wake 174 condition. In addition, to these three main hypotheses our study will include several control 175 variables to investigate known confounding factors (i.e., vigilance, sleepiness, general retrieval 176 performance, memory strength and task difficulty) as well as variables that will allow us to 177 178 explore moderating factors (i.e., age, education status, morningness-eveningness, mental health, shift work, travel and medication). Of note, our study will not be able to show how sleep 179 parameters mechanistically affect reward memory, as the sleep vs. wake design cannot reveal 180 181 such relationships (irrespective of whether sleep deprivation or as in our case day wakefulness is being used). However, our study will enable the planning of much more resource intensive 182 mechanistic studies that manipulate sleep (e.g., by drugs) by delivering an effects size estimate 183 with much less uncertainty than previously. 184



186 *Figure 1.* Visualization of the first simulated run of our predictions produced by our data 187 generating model, with a sample size of N = 1750. The estimated memory performance for 188 each reward category is represented by the thick lines and shaded areas represent standard 189 error estimated using linear models. Note that the standard error is small due to the large 190 sample size.

#### **191** Table 1. Design table.

Orresting	As per our data simulation described on page 29 a maximum of $N = 1750$ participants will be collected to test the hypotheses described below.					
Question	Hypothesis	Analysis Plan	Rationale for deciding the sensitivity of the test for confirming or disconfirming the hypothesis	Interpretation given different outcomes	Theory that could be shown wrong by the outcomes	
Does sleep yield greater memory performance compared to an equivalent period of wake?	H1: In the skep condition, hit rate for the Motivated Learning Task at delayed recognition will be greater when compared to the wake condition.	Linear mixed model: The interaction between retention x time point will be significant. Planned comparisons: the main effect of retention at delayed recognition will be significant. Equivalence: Our smallest effect size of interest for this comparison is in the same range as the reward effect or the reward X retention X timepoint interaction (both unstandardised estimates of .015; see Table 5). This is why we assume equivalence if the 90% confidence interval around the parameter estimated from the actual data does not include .015.	In our model the effect of retention is 0 because sleep only exerts its influence at delayed recognition for high rewards only. However, it is still plausible that an overall difference between sleep and wake emerges at delayed recognition (e.g., this depends on how motivating the low rewards are). For our smallest effect size of interest we chose a value that is comparable with our smallest effect size of interest for the reward effect and the reward X retention X timepoint interaction.	Significance: If the main effect of retention at delayed recognition is significant and the mean memory performance for the sleep condition is greater compared to the wake condition, sleep positively affects memory in comparison to an equivalent period of wake. This would confirm <b>H1</b> . Equivalence: If the difference between sleep and wake conditions is found to be statistically equivalent this would disconfirm <b>H1</b> . If the difference between sleep and wake conditions is found to be equivalent, H3 described below will still be tested as alternative interpretations may exist as described in our supplemental information, which can demonstrate the preferential impact of sleep on consolidation of high vs. low rewards.	If there is no difference in memory performance between the sleep and wake groups at delayed recognition this could demonstrate that the theo that sleep benefits memory generall over and above a period of wake is incorrect. Alternatively, if there is a difference in memory performance between the sleep and wake groups at delayed testing and the wake condition yield better memory performance than the sleep condition then this would poin towards periods of wakefulness bei more beneficial for memory retentia as compared to sleep."	
Does information associated with high rewards yield greater memory performance compared to low rewards?	H2: The hit rate for high rewards will be greater than the hit rate for low rewards at delayed recognition	Linear mixed model: The interaction between reward x time point will be significant. Planned comparisons: the main effect of reward at immediate and delayed testing will be significant Equivalence: If the 90% confidence interval around the parameter estimated from the actual data does not include the parameter we set in our simulations (i.e., 0.15; see Table 5) we assume equivalence. This is because our simulations are based on our minimum effect sizes of interest.	The power analysis derived from our data simulation indicated that we have 95% power to detect at least an unstandardized effect size of .015 with an alpha of p < .020. This effect size was selected on the basis of our pilot data for the motivated learning task presented in the supplemental material.	Significance: If the main effect of reward at immediate and delayed recognition is significant and the mean memory performance for the high rewards is greater compared to low rewards, high rewards have a greater impact on positively affecting memory in comparison to low rewards. This would confirm <b>H2</b> . Equivalence: If the difference between high rewards and low rewards is found to be statistically equivalent this would disconfirm <b>H2</b> .	If there is no difference in memory performance between low rewards and high rewards this could demonstrate that the theory that hig rewards are beneficial for memory i incorrect.	
Does sleep yield greater recognition memory performance for high vs. low reward items?	H3: The magnitude of the positive effect of reward on the hit rate will be greater in the sleep condition compared to the wake condition at delayed recognition.	Linear mixed model: The interaction between retention x time point x reward will be significant. Planned comparisons: The interaction between retention x reward at delayed recognition will be significant. Equivalence: If the 90% confidence interval around the parameter estimated from the actual data does not include the parameter we set in our simulations (i.e015; see Table 5) we assume equivalence. This is because our simulations are based on our minimum effect sizes of interest.	The power analysis derived from our data simulation indicated that we have 95% power to detect at least an unstandardized effect size of .015 with an alpha of p < .020. This effect size was selected due to the unknown nature of the size of the interaction between retention and reward and resource constraints.	Significance: if the interaction between retention x time point x reward and the interaction between retention and reward at delayed recognition is significant such that the magnitude of the benefit of reward on memory is greater in the sleep condition compared to the wake condition at delayed testing this would confirm H3. Equivalence: If the difference in the magnitude of the effect of reward on memory between sleep and wake conditions at delayed recognition is found to be statistically equivalent this would disconfirm H2.	If there is not a greater positive effe of reward on memory performance the sleep condition compared to the wake condition at delayed recognition then the theory that slee preferentially consolidates reward information could be wrong. This would indicate that rewards exert their influence on memory predominantly during encoding.	

192 Note: The factor retention refers to the retention manipulation and contains the two levels sleep and wake. Also, since we are using a declarative task, we cannot generalize our inferences to the procedural domain and declarative

193 memory is meant whenever we write memory in this table

#### 194 Methods

195

#### 196 **Participants**

The size of our sample is guided by resource constraints as well as a data simulation based on 197 the data shown in Figure 1 and previous literature <sup>34,40,44,49,50</sup>. Our predictions indicated that 198 1750 participants suffice to detect a very small effect size and a broad range of much larger 199 effect sizes to achieve 1 -  $\beta = 0.95$ . Of note, the simulation uses a data generating linear mixed 200 201 model with specific input parameters shown in our analysis plan. Proportions of our representative sample stratified across sex (male and female), age (ages 20-29 to 50-59 years), 202 203 highest professional qualification and highest school level qualification were calculated based on the German 2011 Census (See Figure 2). Sampling of strata will be ended individually as 204 soon as they are full. 205

Participants will take part in this experiment online and will be recruited using targeted 206 online advertisements on popular social media websites (e.g., Facebook, twitter) and media 207 outlets (e.g., news websites). We will use Meta Advertisements, an advertisement service using 208 Facebook and Instagram to target strata that we identify as currently under sampled. We will 209 210 also use our contacts writing for national news outlets to further boost the visibility of the study. We will additionally implement a "refer a friend" strategy where participants can refer one or 211 more friends. If at least one friend then goes on to complete the procedure the referrer will 212 automatically receive a 5€ Amazon voucher. Participants will not receive compensation for 213 their participation, but will have the chance to win a voucher dependent on their performance 214 in the task. The voucher values will be 500 x 7.50 $\in$ , 150 x 15 $\in$ , 125 x 20 $\in$  and 100 x 25 $\in$  (adding 215 216 up to 11000  $\notin$  in vouchers). The average amount of the vouchers is thus 6.28  $\notin$ , which is approximately the average bonus that we paid out in our pilot study which was the basis of our 217 power calculation supplementary material; https://cloud.zi-218 (see

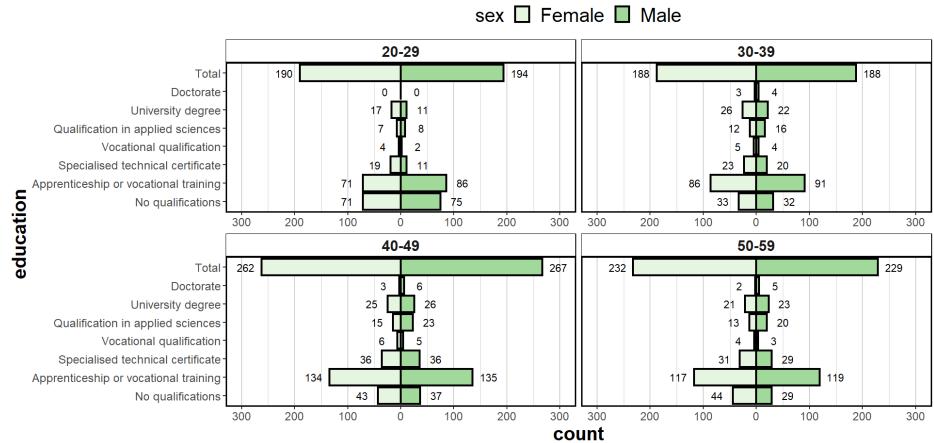
219 mannheim.de/index.php/s/jDnY35CM4WMdQCg). Participants will be informed that each point (gem) earned in the reward task will improve their chances of winning a high value 220 voucher, but that this also depends on the performance of the other participants. To minimize 221 222 attrition participants who do not complete the whole experiment will not have the opportunity to win a voucher. The German Psychological Society (DGPs) ethics committee approved this 223 experiment. Written informed consent will be obtained from participants prior to participating 224 in this experiment. Since we propose to collect a large stratified sample across multiple 225 sessions, we estimate that data collection will be completed within 12 months. 226

227 Our inclusion and exclusion criteria are presented below in Table 2. Participants who meet the exclusion criteria will not be included in the data analysis and will be resampled 228 until our desired sample size is achieved. We have chosen not to exclude participants with 229 mental health conditions which can impact participants' memory consolidation. This is 230 because based on previous experience conducting large-scale online sleep experiments, such 231 exclusion criteria can cause severe limitations on the recruitment process, since mental health 232 issues are quite wide spread (i.e., one in three women and about one in four men aged 18-79 233 in Germany meets diagnostic criteria of at least one mental disorder during the past 234 12 months<sup>63</sup>). Additionally, a main goal of this research is to yield a demographically diverse 235 (representative) sample, which can be used to derive an effect size estimate of the impact of 236 sleep on reward memory, to be used in therapeutic settings. Therefore, the effect size must be 237 as generalizable as possible beyond the samples typically used in sleep and memory 238 experiments which are largely performed with highly educated young students. Such samples 239 240 create a translational gap between basic science and clinical research which limits the generalization of our findings to samples with mental health conditions. 241

- 243 Table 2. Inclusion criteria necessary to participate in the experiment and exclusion criteria to be
- included in the data analysis.

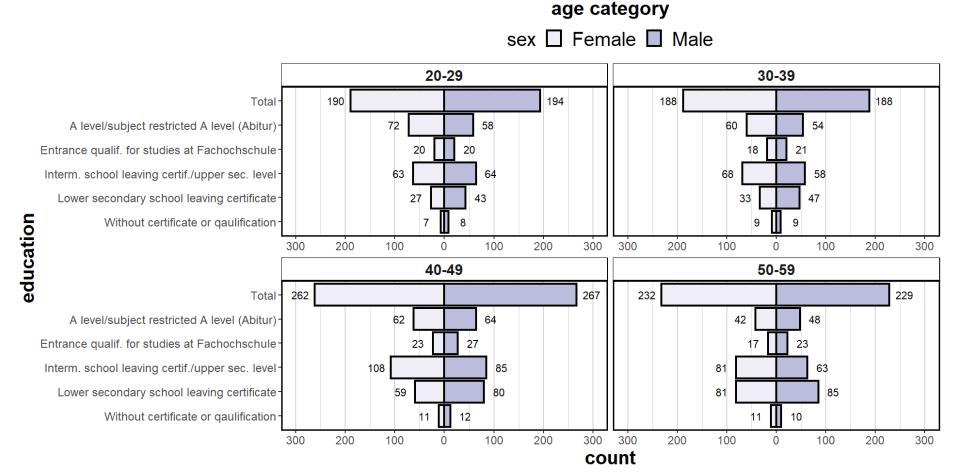
Inclusion Criteria	Exclusion criteria
Stratification: Sex, Male or Female Aged 20-59	Napping between study and test in the wake condition
Highest professional qualification Highest school leaving qualification	Sleeping less than 6 hours in the sleep condition
Resident in Germany	Consumption of alcohol between study and test within sessions 1 or 2
	Participants who respond too slowly on the Flankers task on 3 consecutive trials
	Participants who respond too slowly on 3 consecutive trials for the recognition memory test
	Participants who fail the validation questions on any occasion after their second attempt
	A d' score $\pm$ 3 SD away from the mean within each age category collapsed across timepoint (immediate vs. delayed), retention (sleep vs. wake), rewards and durations

246	To ensure completion of the sample, we will implement the following contingencies
247	incrementally: 1) If after 7 months of data collection we have not achieved at least 50%
248	of our desired sample size we will collapse the strata of the "highest professional
249	qualification" and "highest school-leaving qualification" categories into three groups
250	respectively; 2) If after 9 months of data collection we have not achieved at least 50% of our
251	desired sample we will remove the aforementioned education strata; and 3) Finally, if after 11
252	months of data collection we have not achieved at least 50% of our desired sample we will
253	open up data collection to the UK and USA (English versions of all materials already exist in
254	the lab). In each scenario the stratification will be adjusted.



# age category

Figure 2. Demographic profile of the proposed stratified sample. For simplicity the strata are presented by highest professional qualification and 256 highest school level qualification. Nevertheless the final strata will consist of each stratified combination of the relevant categories, see 257 Supplemental Material. A) y-axes indicate the highest professional qualification attained and x-axes indicate the number of participants required 258 within each sex, age and professional qualification category combination. The precise number of participants that are required to yield a 259 260 representative sample are labeled against each bar for each sex, age and education category.



# 261

B) y-axes indicate the highest school level qualification attained and x-axes indicate the number of participants required within each sex, age and

school level qualification category combination. Again, the precise number of participants that are required to yield a representative sample are

labeled against each bar for each sex, age and education category.<sup>1</sup>

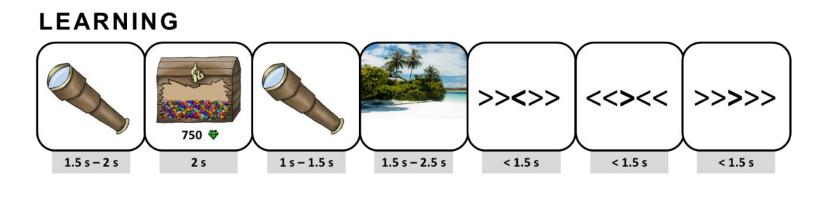
<sup>&</sup>lt;sup>1</sup> The precise definitions of the education for highest professional qualification and highest school level qualification categories can be found at: https://shorturl.at/lpz58

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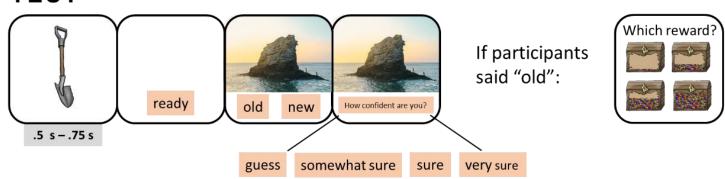
#### 266 Design

Participants will undergo the Motivated Learning task (see Figure 3) over two experimental sessions in a balanced AM-PM PM-AM cross-over design (see Figure 4), once with a retention interval of wake and a second time with a retention interval of sleep. From the introduction it is clear that a choice must be made to either assess memory using a procedural or a declarative task, which both have been shown to benefit from sleep in the retention interval<sup>62,64,65</sup>. We have chosen the former as in the literature there is no clear indication that a procedural task is better suited.

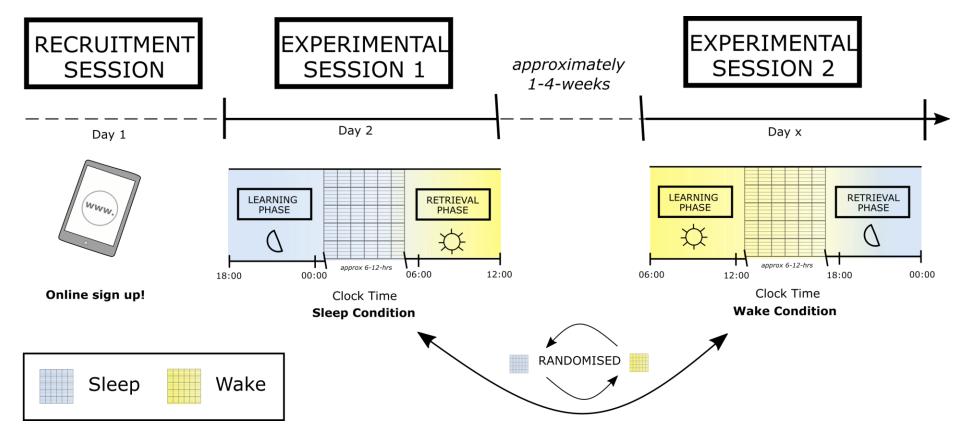
The Motivated Learning task is a recognition memory procedure and in our experiment 274 memory is tested twice in both sleep and wake conditions, once after learning (to examine 275 baseline performance) and again after sleep or wake. In the AM-PM PM-AM design when 276 participants undergo the sleep condition they study images, complete an immediate recognition 277 memory test in the evening (PM) and are tested once again the following morning (AM). 278 Participants in the wake condition study images and complete an immediate recognition test in 279 280 the morning and are subsequently tested again in the evening (PM) on the same day. Therefore, the experimental design has two within-subjects factors Retention (sleep; wake) and Time point 281 (immediate; delayed) with two levels in each. The images that participants study are associated 282 with rewards of four different magnitudes adding an additional within-subject factor reward 283 with four levels to the design (50, 750, 1450, 2150). Our main analysis strategy for this design 284 is based on linear mixed models (see Analysis Plan for details). 285



TEST



*Figure 3.* Motivated Learning Task. Example trials for the learning and recognition tasks. During learning, participants are required to memorise landscape images. Each image is associated with a different reward shown as gems in a treasure chest before each image. During test participants' memory for those images is tested. For each landscape image, participants decide whether an image is old (i.e., the image was shown during learning) or new (i.e., the image was not shown during learning) and rate their confidence in their decision using a 4-point Likert scale (guess, somewhat sure, sure, very sure). If a participant decides that an image is old, they will be asked to indicate the reward amount that image was associated with. If a participant makes a correct old/new decision they are rewarded the amount that was presented alongside the image during learning and if the participant makes an incorrect decision, they lose the mean value of all possible rewards (i.e. 1100 gems).



- 294 *Figure 4.* Experimental procedure for the proposed experiment. Before starting the experimental sessions, participants complete a recruitment
- session where their demographic information is collected and a number of questionnaires are completed. If participants are eligible to participate
- they undergo two experimental sessions, once with a retention interval of sleep and again with a retention interval of wake (in a counter-
- balanced order). In both sessions the procedure is otherwise identical. Both sessions are separated by at least 1 week and a maximum of 4 weeks.

298

## 299 Procedure

Participants are pseudo-randomly assigned to complete the sleep or wake condition 300 301 first, such that the order of the sleep and wake conditions is balanced across the sample. This is also accounted for in our stratification where half of the participants within each stratum 302 will complete either the sleep or wake condition first. Participants will complete the sessions 303 304 separated by a minimum of 1 week and a maximum of 4 weeks. In the sleep condition, participants complete the learning phase (i.e. learning task and immediate recognition task) in 305 the evening (between 18:00 - 00:00) and the retrieval phase (i.e. delayed recognition task) in 306 the morning (between 06:00 - 12:00). In the wake condition, participants complete the 307 learning phase in the morning (between 06:00 - 12:00) and the retrieval phase in the evening 308 (between 18:00 – 00:00). In both cases participants must select a two-hour window separated 309 by 12 hours in which the learning and test phases will be completed (i.e., 06:00 - 08:00, 310 08:00 - 10:00 or 10:00 - 12:00 and 18:00 - 20:00, 20:00 - 22:00 or 00:00). For example, if 311 the participant completes the learning phase between 08:00 - 10:00 and the test phase 312 between 20:00 - 22:00 in the wake condition they must also participate in both phases 313 between 20:00 - 22:00 and 08:00 - 10:00 in the sleep condition. This will help to constrain 314 315 differences in the retention interval between the sleep and wake conditions.

Recruitment session. The recruitment session can take place at any time prior to the experiment and participants will be asked to use the same device they used to sign up for all sessions. A captcha will be used on all session to avoid including bots. All data will be collected using the participant's chosen device, limited to either a computer, laptop or tablet. Therefore, participants completing the experiment on a computer or laptop will respond using their mouse and keyboard whereas those using a tablet will be able to respond using touch screen buttons. Next, they will receive information about the study and digitally sign the consent form. After that, they will answer the demographic questions and depending on strata vacancies they will
be allowed to participate. Then participants will be introduced to the cover story of the
experiment.

326

During the recruitment session participants will also complete the screening questions, 327 St Mary's Hospital Sleep (SMHS) Questionnaire<sup>66</sup>, Epworth Sleepiness Scale (ESS)<sup>67</sup>, reduced 328 Morningness-Eeveningness Questionaire (rMEQ)<sup>68,69</sup>, Pittsburgh Sleep Quality Index 329 (PSQI)<sup>70</sup>, Alcohol Use Disorders Identification Test (AUDIT)<sup>71</sup>, the Caffeine Consumption 330 Questionnaire<sup>72</sup>, the Behavioral Inhibition System/Behavioral Activation System scale 331 (BIS/BAS)<sup>73</sup>, the Becks Depression Inventory – Short Form (BDI - SF)<sup>74</sup>, and finally the 332 shortened version of the Hagen Matrices Test (HMT-S)<sup>75</sup>. For the Learning phase in 333 Experimental Session 1 (see Figure 3), participants will receive an email with a participation 334 link and times when the experiment can be started depending on which retention condition 335 (sleep or wake) they are assigned to first. Participants will receive automated emails shortly 336 before each part of the experimental procedure to remind them to participate. Participants will 337 be asked to refrain from drinking alcohol (i.e. participants should not drink alcohol 24-hours 338 prior to and during the first or second experimental sessions) and consume no more or less than 339 their usual caffeine intake whilst they are actively participating in this experiment. 340

*Experimental sessions.* The first experimental session will take place the earliest within 24-hours of participants completing the recruitment session. At the beginning of the experimental session participants first indicate when they last consumed caffeine or alcohol and how much they consumed. Then they indicate their subjective sleepiness (SSS)<sup>76</sup> and complete a vigilance task (PVT)<sup>77</sup>. Next, they are presented with instructions describing the Motivated Learning task and how they should perform the first and second parts of the learning phase, the learning task (duration approximately 19 minutes) and the immediate recognition

task (duration approximately 14 minutes). In those instructions participants are explicitly 348 informed about the reward contingencies described on p. 24. Participants are then asked the 349 validation questions to ensure that they understand the task and then undergo the learning phase 350 351 (consisting of the learning task and the immediate recognition task). At this point, participants in the sleep condition will be instructed to go to sleep at their usual bedtime and wake up at 352 their usual waking time and participants in the wake condition will be asked not to nap, since 353 even ultra-short naps may allow for sleep-dependent consolidation<sup>78</sup>. After at least twelve 354 hours, participants will return to the experiment. Participants completing the sleep condition 355 will first answer questions about their sleep quality (SMHS)<sup>66</sup> and will answer the sleep related 356 questions. Participants completing the wake condition will also be asked the sleep related 357 questions (pertaining to the night before participating) and will be asked "have you taken a nap 358 today?" and if so "How long did the nap last, in minutes?". All participants are once again 359 asked if they have consumed any alcohol or caffeine, how much they consumed, rate their 360 subjective sleepiness and vigilance is assessed a second time. They then receive instructions 361 on completing the retrieval phase, answer the validation questions a second time, complete the 362 delayed recognition task (duration approximately 14 minutes) and complete a verbal fluency 363 task<sup>79</sup>. This concludes one session of the experiment and participants will then receive further 364 instructions about the second session. Participants repeat the experimental session, known as 365 Experimental Session 2 (see Figure 4), but at different times depending on which retention 366 367 condition they completed first. At the end of the second session, participants will be debriefed and receive a profile of the questionnaire data they have provided relative to population. This 368 feedback on their questionnaire data is used as a further incentive for participants to complete 369 370 the study.

*Follow-up.* Participants will complete a long-term retrieval phase of the Motivated
 Learning Task 3-months after completing the second experimental session. Data collected on
 this part of the task will be used for exploratory purposes only.

374 Materials

375 *Motivated Learning Task.* This task was adapted from a previous study and the 376 appearance of the task has been adapted to map onto the cover story below<sup>34</sup>.

Cover Story. To enhance motivation in the Motivated Learning Task we gamified it 377 378 with a cover story, where the participants are part of a pirate ship crew. As a crewmember the aim of the participant is to scout treasure hidden in different locations (landscape images) 379 occupied by their allies, corresponding to the first part of the learning phase of the experiment. 380 Participants scout at those locations alone so they cannot take the treasure with them. 381 Participants navigate between the locations in the form of the Flankers Task embedded amongst 382 a treasure map. Thus, they must remember the locations and scavenge the treasure when they 383 return with the crew, which corresponds to the second part of the learning phase (immediate 384 recognition) and the retrieval phase (delayed recognition). When participants return for the 385 386 immediate or delayed recognition along with their crew, they revisit "old" locations (the locations shown during the first part of the learning phase) and "new" locations (locations that 387 were not shown during the first part of the learning phase and that are known to be inhabited 388 by rival pirate clans). The goal of the participant is to "dig" at "old" locations as that is a hit 389 and they will be rewarded treasure. They should avoid choosing to "not dig" at "old" locations 390 as that is a miss and the crew captain will punish the crew with a loss of treasure. Since the 391 "new" locations are occupied by rival pirate crews "digging" at those locations, a false alarm, 392 is costly, as the rival pirates will take treasure from them. However, if participants choose to 393 "not dig" at the "new" locations, a correct rejection, the crew captain will reward them with 394 treasure as digging there could have risked the crew's safety. 395

396 *Task description*. First, a fixation spyglass is shown during a jittered inter trial interval (ITI, 1500 - 2000 ms) indicating that it is time for the participant to begin scouting for treasure 397 398 at a new location (see Figure 3). Then a treasure chest is shown (2000 ms) indicating how much treasure can be gained for correct recognition of this image during immediate or delayed 399 recognition using one of four reward magnitudes (50, 750, 1450, 2150). This is followed by an 400 additional fixation spyglass. Next, the image of the location is presented. Each image is only 401 402 shown once during the learning task. After viewing each image, participants complete three trials of the flanker task to prevent rehearsal<sup>70</sup>. Participants are informed that their chances of 403 404 winning a monetary bonus increase the more gems they collect. We will use four different image exposure durations (in ms 1500, 1833, 2167, 2500) to control for encoding strength. The 405 durations and rewards associated with each image are counterbalanced so that all reward 406 magnitudes are presented with each duration. Each of the sixteen reward × duration 407 combinations are implemented eight times (using different images) therefore participants are 408 409 shown 128 images during the first part of the learning phase. The images are pseudo randomly presented to ensure that the same reward or duration do not occur consecutively. The learning 410 task is split into eight blocks with sixteen images presented per block and in each block at least 411 412 six and at most 10 images will be associated with high reward (either 750 or 1100 gems) and at least six and at most 10 images will be associated with a long duration (either 1500 or 2000 413 ms). At the beginning and the end of the learning task participants will complete 4 additional 414 trials, each with pseudo-random rewards and durations occurring only once, to buffer primacy 415 416 and recency effects.

In the flanker task arrows will be presented to the participant and the direction that the middle arrow faces will correspond to the directional button which the participant must press<sup>69</sup> whereas the arrows adjacent to the middle arrow must be ignored. There are congruent (i.e. flanking arrows face the same direction, >>>>) and incongruent (i.e. the flanking arrows

face the opposite direction, >><>>) trials that will be split across all trials of the learning phase.
If participants respond too slowly (i.e. >1.5s) they will be asked to speed up, participants who
respond too slowly after three consecutive trials of the learning task (i.e., on nine consecutive
flankers) will be excluded from the data analysis. The flankers trials are pseudo randomized
such that a maximum of six trials can be of the same congruency and orientation in a row.

426 Participants' memory for half of the learned images (i.e., 64 of 128) is tested in the immediate recognition task directly after the learning task and the other half of the images is tested in the 427 delayed recognition task in the retrieval phase. One trial of the test phase is shown in Figure 3. 428 429 A recognition trial begins with a shovel during a jittered ITI (500 - 1000ms), indicating to participants that they will begin collecting treasure. Next participants must click a 'continue' 430 button to ensure that the mouse pointer or finger (when using a tablet) is in approximately the 431 same position for all trials. Then participants are shown the image of the location and make 432 three decisions. 433

First, participants must indicate if the image is "old" or "new" to measure memory 434 performance. If the image is "old" and the participant decides the image is "old", then that is a 435 436 hit and participants are rewarded the number of gems that the image is associated with. If the image is "new" and the participant decides that the image is "new" then that is a correct 437 rejection and they are rewarded the average reward (1100 gems). If the image is "old" and the 438 participant decides that the image is "new" then that is a miss and the participant loses the 439 average reward. If the image is "new" and the participant decides that the image is "old" then 440 that is a false alarm and they lose the average reward amount. The second question participants 441 are asked is "how certain are you?" using a four-point Likert scale ("guess", "somewhat sure", 442 "sure", "very sure"). Confidence is routinely measured in recognition memory tasks and we 443 have decided to keep this assessment, as in some cases reward effects have been reported to be 444 more pronounced for high confidence items<sup>34</sup>. Finally, if the participant decided that the image 445

446 is "old" they are asked "which treasure do you think can be found here?" and must select one of the four reward options that they believe the current image is associated with. This question 447 will measure source memory for the reward categories. Participants are asked to decide if the 448 image is "old" or "new", rate their confidence and select the associated reward as fast as 449 possible. Each decision must be made within 5000 ms. If participants do not respond within 450 that time to either of the questions they will receive a warning message. After three warning 451 messages participants will be reminded that they will be excluded from the experiment if they 452 do not respond fast enough. When participants are shown a warning message, participants are 453 454 still able to respond. Response times starting from the presentation of the location to the time at which an old/new decision is made and from that decision to the time at which participants 455 rate their confidence and from that time until a reward is selected will be recorded for 456 457 exploratory analyses.

For the immediate recognition task there will be eight blocks with 16 trials each, 458 459 equaling 128 trials. Sixty-four of the 128 trials are old landscape images (i.e., half of the learned images) and the remaining 64 are new landscape images. Old and new trials are pseudo 460 randomly presented such that no more than four target or lure trials can occur in a row and the 461 same reward and duration can also not appear in a row. Between each block, participants will 462 be shown an animation of the number of gems they have collected so far. However, this mock 463 feedback is not influenced by true performance but rather corresponds to the slightly jittered 464 mean number of gems that could be earned  $\pm 1$  SD with 50% accuracy during the task. This is 465 done to keep motivation high for all participants irrespective of their true performance. In our 466 467 pilot experiments (see supplementary material; https://cloud.zimannheim.de/index.php/s/jDnY35CM4WMdQCg), none of the participants noticed this was 468 mock feedback. 469

In the delayed recognition task, participants complete the same procedure as in the immediate recognition task, except that participants are presented with a different set of images, i.e., the remaining 64 old images and 64 completely new images. In the follow-up, participants are shown all 256-target images they were shown during the first and second recognition phases in sessions 1 and 2 and will be shown 256 completely new images as lures.

475 Landscape images. The images are allocated in a way which means that each image is 476 balanced across the combinations of reward and duration as well as the different time points and old/new assignments. The landscape images were collected from the creative commons 477 478 online repository (https://search.creativecommons.org/). A pilot study conducted on Prolific (https://www.prolific.co/; N = 152, see supplementary material; https://cloud.zi-479 mannheim.de/index.php/s/jDnY35CM4WMdQCg) assessed those images in terms of 480 aesthetics, composition, memorability, familiarity, whether or not the exact images have been 481 seen before and memory accuracy. Participants rated images on those factors and subsequently 482 483 completed a recognition memory test. This pilot allowed us to balance out differences on those factors between the images across the conditions as well as reward and duration categories in 484 the Motivated Learning Task and eliminate images that are extremely recognizable. 485

**Demographic information**. All participants will be asked the following questions in a 486 custom online questionnaire: What is your age?; What is your biological sex?; Which gender 487 do you identify as?; What is your ethnicity?; What is your highest level of school education?; 488 What is you highest professional qualification?; What is your aspired level of education?; 489 Which type of school did you go to?; What is your current occupation? What is your 490 491 relationship status?; Do you have children and if so how old are they?; Are you currently living in Germany? If yes, what are the first two numbers of your postcode? Do you live in an urban 492 or rural area? 493

494 Participant questionnaire. Participants will be asked the following yes/no questions: Do you currently smoke cigarettes? If "yes" how long have you smoked them for?; Do you 495 currently take any recreational drugs? If "yes" which drugs do you take and how long have you 496 497 taken them for?; Do you currently suffer from a diagnosed sleep disorder?; Do you currently suffer from a diagnosed neurological disorder?; Are you currently taking any prescribed 498 medication?; Do you currently suffer from a diagnosed addiction disorder?; Do you currently 499 suffer from a diagnosed mental health disorder?; Participants will be asked to state, which 500 disorder they suffer from and which medication they are taking if they answer "yes" to the 501 502 questions regarding sleep, neurological, addiction or mental health disorders or those who answer "yes" to taking medication will be asked to indicate which medication they are taking; 503 Have you traveled across time zones within the past three weeks? If "yes", where did you travel 504 505 to?; Do you currently work as a shift worker? Or have you ever worked as a shift worker?. If "yes", how long have/did you work(ed) as a shift worker? and have you worked night shifts 506 within the past 6 months?. The data collected from this questionnaire will be used for 507 508 exploratory purposes only. Of note, we will not use these questions top exclude participants even though this is done in similar research. We do this to enable exploring moderators. 509

## 510 Sleep questionnaires.

511 The data collected from the following sleep related questionnaires will be used for exploratory512 analyses only, examples of those analyses are provided underneath each questionnaire.

513 *Caffeine Consumption Questionnaire*. Participants will indicate which caffeinated 514 products they have consumed throughout the day before participating (including coffee, 515 decaffeinated coffee, espresso, black, green, white, or mate tea, cocoa drink, iced tea, drinks 516 with tea extract, cola and mixed cola beverages, energy drink, energy shot, alcopops with 517 energy drink, cola or coffee and chocolate) and will indicate when they consumed those

518 products (breakfast, between breakfast and lunch, lunch, between lunch and dinner, dinner and 519 after dinner)<sup>72</sup>. The caffeine consumption questionnaire will be translated directly from English 520 into German. The amount of caffeine which participants have consumed will be used in 521 exploratory analyses to determine whether or not memory performance in the sleep and wake 522 conditions for high and low rewards is moderated by caffeine consumption.

*Sleep Related Questions*. All participants will indicate their bedtime, rising time and the
length of time that they spent asleep. Participants will also indicate if they had any awakenings
and if so, how many they had.

Epworth Sleepiness Scale (ESS). The ESS asks participants to rate their general 526 sleepiness in eight everyday scenarios using a four-point scale (0 = would never doze, 1 = slight 527 chance of dozing,  $2 = \text{moderate chance of dozing and } 3 = \text{high chance of dozing})^{67}$ . Scores on 528 the ESS range from 0-24, a low ESS score indicates low levels of general sleepiness and a high 529 score indicates high levels of general sleepiness. The German version of the ESS will be used 530 in this experiment<sup>80</sup>. The Epworth sleepiness scale will be used to determine whether higher 531 levels of sleepiness cause detrimental effects to the relationship between sleep and memory 532 consolidation for rewarded information. 533

Stanford Sleepiness Scale (SSS). The SSS asks participants to rate their current level of subjective sleepiness on a seven-point scale  $(1 = \text{feeling active, vital, alert or wide awake; 7 = no longer fighting sleep, sleep onset soon, having dream-like thoughts)<sup>76</sup>. A low score on the$ SSS indicates a low level of state sleepiness and a high score on the SSS indicates a high statelevel of sleepiness. SSS scores will be used to determine whether differences in memoryperformance between the sleep and wake condition may be attributed to differences insubjective sleepiness. The SSS will be directly translated from English to German<sup>as in 41</sup>.

541 Reduced Morningness-Eveneningness Questionnaire (MEQr). The MEQr is a reduced version of the full Morningness-Eveneningness Questionaire (MEQ), which uses only 5-items 542 from the MEQ (i.e. items 1, 7, 10, 18 and 19: e.g., "During the first half hour after having 543 woken in the morning, how tired do you feel?")<sup>69,81</sup>. The MEQr measures an individual's 544 chronotype (i.e. the time of day that an individual feels most alert)<sup>69</sup> and scores on the MEQr 545 range from 4-26. Scores below 12 are indicative of a morning type whereas scores greater than 546 17 are indicative of an evening type. Scores between 12 and 17 are indicative of neither type<sup>69</sup>. 547 The German version of the MEOr will be used in this experiment<sup>81</sup>. The MEOr will be used to 548 determine whether chronotype synchrony (i.e., whether you are participating at a time that 549 matches your chronotype) impacts the relationship between sleep and memory consolidation 550 for reward. 551

St Mary's Hospital Sleep (SMHS) Questionnaire. The SMHS is a subjective measure of 552 sleep quality over the last 24 hours<sup>66</sup>. Participants will answer items 6 ("How many times did 553 you wake up?; using a 7-point Likert scale ranging from 1 = "Not at all" to 7 = "More than six 554 times") and 9 ("How well did you sleep last night?"; using a 6-point Likert scale ranging from 555 1 = "very badly" to 6 = "Very well"). Lower scores on item six indicate high sleep quality and 556 higher scores indicate poor sleep quality. Higher scores on item nine indicate high sleep quality 557 and lower scores indicate poor sleep quality. The selected SMHS items will be directly 558 translated from English to German. Ratings for both items will be used to see if memory 559 performance for high to low reward items is correlated with the level of sleep quality 560 experienced between the learning and testing phases of the sleep condition. 561

*The Pittsburgh Sleep Quality Index (PSQI).* The PSQI is another subjective measure of sleep quality, except participants are asked about their sleep habits and over the past month (e.g., "During the past month, how often have you had trouble sleeping because you cannot get to sleep within 30 minutes?")<sup>70</sup>. The PSQI consists of 18 items, which are clustered into seven component scores, which each range from 0-3 and are summed. Thus, PSQI scores can range from 0-21, where lower scores indicate poor sleep quality and higher scores indicate good sleep quality. The German version of the PSQI will be used in this experiment<sup>82</sup>. Like the SMHS scores on this scale will be used to see if memory performance for high to low reward items is correlated with participants general level of sleep quality experienced over the past month.

571 Psychomotor Vigilance Task (PVT). The PVT is a sustained attention task used to measure participants' objective vigilance<sup>77</sup>. We will use a 3-minute version of the Psychomotor 572 Vigilance Task adapted from a 5-minute version of the task<sup>83</sup>. In this reaction time task, 573 participants have to press the space bar as soon as a millisecond clock appears on the screen. 574 The following measures will be analysed: median reaction speed (1/reaction time in ms) and 575 percentage of lapses (number of lapses divided by the number of valid stimuli, excluding false 576 starts; lapse = reaction time  $\geq$  500 ms). Reaction times shorter than 100 ms will be regarded as 577 anticipated responses and treated as errors of commission. Participants will be instructed to 578 respond as soon as a stimulus is shown on the screen. That is, they should shorten their reaction 579 times as best they can but should not press the response button too early - this is a false start. 580 Alongside the SSS, data collected from this task will be used to determine whether differences 581 identified between sleep and wake conditions are due to that manipulation alone and not 582 differences in subjective and objective vigilance, respectively. 583

**Regensburger Wortflüssigkeits-Test**. The Regensburger Wortflüssigkeits-Test<sup>79</sup>, is a measure of verbal fluency. Participants are asked to type as many words as possible within a two-minute time window. They do this twice: once for words beginning with the letter p or m and once for words belonging to the category professions or hobbies. The order of the letter and category version will be balanced across participants. The different versions are used for the two experimental sessions respectively. The data will be used to determine differences in general retrieval performance between sleep and wake groups. The order of the cued letters and categories will be randomised for each participant between the retrieval sessions.

**BIS/BAS** scale. The BIS/BAS scale<sup>73</sup> is a measure of both the behavioral inhibition 592 system (BIS) and behavioral activation system (BAS), both of which are related to motivation 593 594 towards moving away from aversive outcomes and moving towards goal-oriented outcomes 595 respectively. The scale consists of a total of 24 self-report items, 7 of the items are associated with the BIS component (e.g., "criticism or scolding hurts me quite a bit") and 13 of the items 596 597 are associated with the BAS component (e.g., "I go out of my way to get things I want"). The other 4 items are fillers. For all of the item's participants respond using a 4-point Likert scale 598 (1 = "very true for me" - 4 = "very false for me"). Higher scores on the BIS component of this 599 scale indicate that an individual is more likely to experience negative feelings when pursuing 600 a goal. The BAS component can be broken down further into three categories, the BAS 601 602 responsiveness score, drive score and fun-seeking score. Generally higher scores on the BAS components indicated that an individual is more likely to seek out a goal because it is 603 rewarding. This scale will be used to perform exploratory analyses to determine if there are 604 any relationships between BIS and BAS scores on memory performance. The German version 605 of this scale will be used in this experiment<sup>84</sup>. 606

*Becks Depression Inventory* – *Short Form (BDI* - *SF*). The BDI – SF is a shortened version of the original BDI<sup>85</sup> containing only 13 items instead of 21. The BDI – SF is a measure of depressive symptoms, which are indicative of depression. For each item on this scale participants respond using a 4-point Likert scale (e.g., 1 ="I do not feel sad" - 4 = "I am so sad or unhappy I can't stand it"). Scores on the BDI-SF range from 0 – 39, where lower scores are indicative of fewer depressive symptoms. This scale will be directly translated from English 613 into German. This scale will be used to determine whether there is a reduced effect of high
614 rewards on memory after sleep for participants who report higher levels of depressive
615 symptoms.

Hagen Matrices Test Short Version (HMT-S). The HMT-S<sup>75</sup> is an adapted shorter 616 measure of the HMT which measures intelligence, specifically induction and fluid reasoning. 617 In this task participants are required to identify patterns and rules in a series of puzzles. They 618 619 are shown six 3 X 3 matrices of patterns that are incomplete and are missing one part. Participants must select the correct solution to the matrix from 8 potential options. In order to 620 621 successfully complete the task participants must be able to identify the rules that govern the matrices they are shown. Each item is given a score of 1 if it is answered correctly and a score 622 of 0 if the response is incorrect or missing. Thus, participants scores can range from 0 to a total 623 of 6. Higher scores in this task indicate that an individual has greater induction and fluid 624 reasoning abilities. Given that intelligence has been broadly related to the benefits of sleep on 625 memory consolidation and the occurrence of neurophysiological activity that occurs during 626 sleep<sup>86</sup> it is plausible that performance on this task might influence memory performance on 627 the MLT. Therefore, we will conduct exploratory analyses to determine whether or not the 628 benefits of sleep on reward related memory performance is associated with performance on the 629 HMT-S. 630

631 Validation questions. To ensure that participants understand the Motivated Learning 632 Task they are provided with information about how they will be rewarded gems or how they 633 might lose gems as described previously (see Table 3). To test their understanding, they are 634 asked the following questions: You see a landscape image that you already saw when you were 635 scouting for treasures. The treasure chest belonging to it contains 750 gems. You correctly 636 identify the picture as "old" - what happens?; You see a landscape image that you already saw 637 when you were scouting for treasures. The treasure chest belonging to it contains 2150 gems. You make a mistake and identify the picture as "new" - what happens?; You see a landscape 638 image that you did not see when you were scouting for treasures. The treasure chest belonging 639 to it contains 2150 gems. You correctly identify the picture as "new" - what happens?; and 640 finally you see a landscape image that you did not see when you were scouting for treasures. 641 The treasure chest belonging to it contains 1450 gems. You make a mistake and identify the 642 picture as "old" - what happens? The chances of getting all four validation questions that have 643 four options to answer each correct by chance is  $0.25^4 = 0.004$ . On the occasions which 644 participants will answer the validation questions they will be given two opportunities to 645 correctly answer all of them. If they incorrectly answer at least one of the validation questions 646 on their first attempt they will be given a second opportunity to answer them. If they incorrectly 647 648 answer at least one of the questions on the second try they will be excluded from the experiment. If the participants answer all of the validation questions correctly on their first or 649 second attempt they will be able to continue the experiment. On the second attempt participants 650 651 are also shown the instructions for the motivated learning task a second time. Given that participants will complete the validation questions four times, the gems referred to in the 652 questions will be adjusted each time. 653

		Trial Type	
	Reward Contingencies	Target	Lure
Response	"Yes"	Hit (win n gems)*	False Alarm (lose 1100 gems)
	"No"	Miss (lose 1100 gems)	Correct Rejection (win 1100 gems)

Table 3. Reward contingencies for the Motivated Learning Task.

<sup>655</sup> \*n refers to the number of gems which are associated with a given target image.

Wake experience. Participants will be asked to document their wake experience in the wakefulness condition. For this, they will be asked, "please provide a short description of your activity during each hour of the retention period". To document their wake experience participants will be asked to recall and approximate their activity of each hour during the day by typing it into the relevant fields. Based on the received answers we will categorize the data and perform exploratory analyses.

Seriousness check. To ensure that participants performed the experiment seriously and 662 did not engage in nefarious activities, such as repeat participations or masking of their true 663 664 location via VPN we will perform a seriousness check at the end of the study. Participants will be asked, "It would be very helpful if you could tell us at this point whether you have taken 665 part seriously, so that we can use your answers for our scientific analysis, or whether you were 666 just clicking through to take a look at the survey? Please note that any answer that you provide 667 to this question will not impact your chances of winning in the prize draw or prevent you from 668 being added to the prize draw" and can respond with "I have taken part seriously" or "I have 669 just clicked through, please throw my data away". This approach has been shown to improve 670 data quality in online studies<sup>87</sup>. This information will be used in exploratory analyses to reveal 671 whether the seriousness of participant's responses impacts the confirmatory analyses described 672 below in the analysis plan. 673

# 674 Analysis Plan

675

The data simulations presented below and in Figure 1 were carried out using R (version
4.2.0) running in RStudio<sup>88</sup>. All analyses will be performed in Rstudio after the data have been
collected.

680

#### Data Pre-processing.

Hit and False Alarm Rates. To compute the hit rate, the number of hits will be divided by the 681 682 corresponding number of target trials. Comparatively, to compute the false alarm rate the corresponding number of false alarms will be divided by the number of lure trials. Hit and false 683 684 alarm rates will be computed for all combinations of retention (sleep vs. wake), timepoint 685 (immediate vs. delayed) and reward (50 vs. 750 vs. 1450 vs. 2150). This means that hit and false alarm rates are computed for each participant are collapsed across all durations for all 686 levels of interest and duration conditions will be used to perform exploratory analyses. The 687 688 main focus of analyses of the duration conditions will be to confirm that low memory strength items (those that were shown for the shortest time) benefit most from sleep-dependent 689 consolidation, as has been reported before<sup>7,89</sup>. The duration conditions will also allow us to 690 perform exploratory analyses that take into account differences in memory performance due to 691 age or other demographic variance. Following the original paper which developed the MLT<sup>30</sup>, 692 693 the hit rate will be used as our main outcome variable. In this task, the hit rate is the most conceptually relevant outcome measure. This is because only targets are associated with a 694 reward and the lures are not, since they are only shown in the test phase. Therefore, only the 695 696 hit rate should be modulated by the reward, not the false alarm rate.

697 *Discriminability*. d' will be computed from the hit and false alarm rates (ignoring the
698 reward categories) for each participant as follows:

$$d' = z(HR) - z(FAR)$$

The measure d' is an operationalization of discriminability (i.e., participants ability to discriminate between old and new images)<sup>90</sup>. d' will be used to calculate participants general memory performance and exclude outliers as described above.

#### 703 Model Specification and Hypothesis Testing

Our hypotheses (shown in Table 1 and Figure 1) will be tested and formalised using the R-package lmerTest<sup>91</sup> in the following linear mixed effects model with a maximal random effects structure as is recommended in the literature<sup>92</sup>:

707 hit rate ~ timepoint \* retention \* reward + ((timepoint + retention + rewa 708 rd) ^ 2 | subject)

This maximal linear mixed effects model includes all interactions and main effects as 709 well as random intercepts and slopes for each participant for all parameters, with the exception 710 of the three-way interaction where only one data point per participant exists, as the slope for 711 that interaction and the random residual error would be indistinguishable. Deviation coding 712 713 will be used for all categorical predictors in this model (See Table 4). Reward will be scaled such that a change in reward values reflects an increase of 1000 gems collapsed across duration 714 715 categories. p-values produced by the lmerTest package using Satterthwaites degrees of freedom will be used to evaluate relevant parameters in this model (see below). 716

717

Table 4: Coding scheme.

predictor	-0.5	0.5
timepoint	immediate	delayed
retention	wake	sleep

718

*Note.* Deviation coding of predictors for the main analysis.

719

We will use the maximal model to give us an indication of whether our prediction that the magnitude of decline in memory for high vs. low rewarded images will be greater after a period of wake compared to a period of sleep at delayed recognition. This is represented in the timepoint × retention × reward parameter. If the timepoint × retention × reward is non-

724 significant and an equivalence test suggests equivalence, we will conclude that there is no effect of reward on sleep-dependent memory consolidation. If the timepoint x reward parameter is 725 non-significant and an equivalence test suggests equivalence, we will conclude that reward 726 727 does not affect consolidation and reward effects are due to processes during encoding alone. If the timepoint x retention x reward is significant, we will followed it up with additional tests 728 since the interaction could be taking place in any combination of those variables (for example 729 at both immediate and delayed recognition). Therefore, we will reduce the model by the 730 timepoint parameter and examine two linear mixed effects model for both immediate and 731 732 delayed recognition with the following maximal effects structure:

First, we will examine the reduced model for immediate recognition to determine 734 735 whether or not the retention  $\times$  reward parameter is significant, which it would be if an interaction was present at immediate recognition. We do not expect that this will be a 736 significant interaction since sleep is not expected to exert any impact on reward memory here 737 as it has not vet occurred. However, we do expect that the reward parameter will be significant, 738 where memory for high rewards will be greater than memory for low rewards at immediate 739 recognition. If counter to this expectation the reward parameter at immediate recognition is not 740 significant, this in combination with the timepoint × retention × reward of the full model would 741 mean that rewards at encoding do not suffice to explain the memory enhancing effects of 742 rewards. 743

Moreover, we will also examine whether the retention condition parameter is significant. If so, this would indicate that there is a time of day effect at immediate recognition between the sleep and wake conditions, which may occur due to learning either in the morning (in the wake condition) or the evening (in the sleep condition). For instance, one might expect

that participants in the wake condition that learn in the morning perform better as they are well rested, whereas those in the sleep condition learn in the evening and are tired and as a result they perform worse. If that is the case then baseline hit rates will be added as a covariate to the reduced model of delayed recognition to determine whether they have an impact on our interpretation of the findings as they pertain to the impact of retention on reward.

753 To test our main hypotheses we examine the delayed recognition model and predict 754 that the retention and reward parameters will be significant, where the sleep group collapsed across reward categories will have greater memory compared to the wake group and high 755 756 reward images will be better remembered than low reward images collapsed across retention conditions, respectively (H1 and H2; see Table 1). Consequentially we will examine the 757 retention  $\times$  reward parameter to assess our final hypothesis, the interaction between retention 758 and reward at delayed recognition (H3: see Table 1). We will follow up this interaction by 759 performing linear mixed models on each unit of reward between sleep and wake groups as 760 follows: 761

# 762 hit rate ~ retention + (retention | subject)

We expect in this analysis that significant differences between sleep and wake groups 763 at delayed recognition will emerge in the highest reward categories (1450 and 2150) and that 764 performance at low reward categories (50 and 750) will be statistically equivalent. Simulated 765 data demonstrating this pattern of results are shown in Figure 1. If memory performance at 766 immediate recognition is included as a covariate and is significant and the interaction is not 767 significant then it will be concluded that the covariate explains more variation in our data than 768 769 the interaction between sleep and reward, and the data will be interpreted as such and explanations will be explored. However if the covariate is included and is significant or non-770 significant and the interaction term remains significant then we will conclude that after 771

accounting for the variation explained by baseline scores, the retention  $\times$  reward interaction persists. This pattern of results would replicate previous research indicating that sleep benefits memory performance over a period of wakefulness and that sleep exerts its influence on memory for high rewards only<sup>44,49,50</sup>.

Evidence indicating that the parameters (including covariates) described above are unlikely under the null hypothesis will be determined via p-values < .020 and all follow-up tests will use the same threshold.

**Resolving Model Convergence Issues.** It is possible that our maximal models will not 779 converge due to "overparamitization" within models containing all possible parameters, such 780 as random intercepts and slopes<sup>93</sup>. Yet, it makes sense to start with the maximal model, since 781 not including those parameters can yield an increased risk of Type I error<sup>94</sup>. We will perform 782 30,000 iterations of the maximal model. If the maximal model still fails to converge after 783 30,000 iterations or the estimated correlation parameters lie at 0 or +1, the data will be fitted 784 using a zero correlation model. If after 30,000 iterations a model is not identified, random 785 slopes per participant starting with the highest order components will be excluded until a model 786 is identified. It is also possible that a model is identifiable, but overparamatization is indicated 787 in a random-effects Principle Component Analysis (implemented using the rePCA() function 788 in the lme4 R-package)<sup>95</sup>. 789

	parameter	
parameter name	value	
fixed effects		
intercept	0.60	
timepoint	-0.10	
reward	0.015	
timepoint:retention:reward	0.015	
random effects		
participant intercept	0.12	
participant timepoint sd	0.25	
participant reward sd	0.02	
error	0.12	

Table 5: Simulation model parameters

Note. Model parameters used in the data-generating model. The 791 parameter value for reward reflects the change in reward per 1000 gems. 792 If this occurs, components of the same order which have the smallest variance will be removed 793 from the model. In this scenario, the fixed effects parameters will be evaluated using p-values 794 calculated using lmerTest. To prevent p-hacking, p-values will only be calculated once a model 795 with good convergence is identified. If either of the following scenarios occur it will be 796 797 concluded that our model derived from the lmer package does not have good convergence: 1) the package is unable to converge on a final model and no output is produced; and 2) a model 798 is produced but a singular fit is identified indicating that the model has been overfitted to the 799 800 data.

Main effects estimated to be 0 or close to 0 will not be removed, thus ensuring that pvalues derived from the identified model can be meaningfully interpreted and confidence intervals can be used in equivalence tests. Lastly, models that fail to converge will be documented and presented in the Supplement upon Stage 2 submission.

**Data Simulation**. Model convergence aside, linear mixed effects models also present a unique challenge for estimating effect sizes and analyses, since variance is shared and partitioned amongst all parameters. Therefore, there is no consensus as to how one should

compute effect size estimates for main effects and interactions<sup>96</sup>. Our solution was to create a 808 data generating model including parameters that reflect our hypotheses (see Table 5) and 809 simulate data to calculate an appropriate sample size<sup>97,98</sup>. In this data generating model, the 810 data produced are aggregated over duration so the hit rate is computed across each timepoint  $\times$ 811 reward × retention combination. The reward parameter was scaled such that a change in reward 812 values reflects an increase of 1000 gems collapsed across duration categories. Thereby, we 813 could set the hypothetical reward of zero, immediate recognition and the wake condition to 814 baseline, which could then be compared to an increase of 1000 gems (reward effect), delayed 815 816 recognition and the sleep condition, respectively. In other words, the main effect of reward reflects an increase in hit rate for every 1000 gems (per reward category). The Main effect of 817 timepoint reflects the change in hit rate between immediate and delayed testing. Finally, the 818 819 main effect of retention reflects the change in hit rate between the sleep and wake condition. Additionally, unlike the data analysis model shown above, this data generating model uses 820 dummy coding and not deviation coding for each of the predictors (See Table 5). 821

To make our data generating model as realistic as possible we included by-subject 822 random intercepts and slopes for main effects and interactions that are expected to be non-zero 823 824 in addition to the residual error that is normally distributed. The residual error in this model was based upon pilot data which were collected in an online environment and can therefore 825 approximate the error that we may encounter in this experiment using the same task. Moreover, 826 for memory measures it is known that the measurements between immediate and delayed 827 recognition will be correlated, so a high correlation between the time points was included 828 829 (simulated correlation from the first run of the data simulation: r = 0.87). With that in mind we also assumed that memory would decay for each participant between immediate and 830 delayed recognition so a reduction in memory performance is also included in the data 831 simulation. 832

833

Table 5:	Coding	scheme.
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predictor	0	1
timepoint	immediate	delayed
retention	wake	sleep

#### 834

Note. Dummy coding of predictors for the data-generating model.

835

The parameters shown in Table 5 were also derived from our predictions described 836 previously and the existing literature and data. For example, the parameter reflecting the impact 837 of reward on memory in Table 5 was derived from the pilot study which was conducted to 838 validate 839 our task (see supplemental material; https://cloud.zimannheim.de/index.php/s/jDnY35CM4WMdQCg). The estimated size of that effect was 840 841 decreased slightly from that data to account for the fact that we will be using a more heterogenous sample. However, deriving parameters for the precise impact of sleep on memory 842 for high rewards at delayed recognition proved challenging. A recent meta-analysis across 843 844 different tasks indicated that the impact of sleep on memory at delayed recognition ranges between d =  $-.252 - 1.14^{8}$  in young and older adults. A meta-analysis on emotional memory 845 found that the effect lies at  $d = .470^{99}$  indicating that there is much variability in the size of the 846 sleep effect on memory. This variability is likely increased by the heterogeneous sample we 847 are collecting. The challenge is further complicated by effect size inflation in meta-analyses 848 849 due to possible publication bias. However, in the face of uncertain effect sizes the goal of our simulation was not to determine a precise a priori effect size. Instead, our approach was to find 850 a compromise between resource constraints and achieving 95% power to detect a broad range 851 852 of effect sizes.

Therefore, under the reasonable assumption that the impact of sleep on memory and more specifically its impact on high reward at delayed recognition could also be smaller than is reported in the literature we chose parameters for the effect of sleep on reward at delayed

856 recognition which yielded an overall small effect. This effect emerges at high rewards and is 0 at low rewards, because we modeled the data in such a way that there is no difference between 857 wake and sleep for the lowest reward categories (as is shown in Figure 1). The simulation thus 858 replicates previous research in line with our predictions,<sup>44,49,50</sup> but at a much smaller effect size 859 than has previously been reported for both the impact of sleep on memory at delayed 860 recognition and the timepoint × reward × retention interaction. Note that we do not include a 861 862 parameter for simulating the effect of retention since we assume that the impact of sleep exerts itself on delayed recognition modulated by rewards (see Table 1 for further information). This 863 864 is supported by the finding that cues bias reactivation for cued memories at the expense of noncued memories<sup>101</sup>. Overall, the decisions used to create the model led to the chosen parameters 865 shown in Table 5 and the data generating model below: 866

867 hit rate ~ timepoint + reward + timepoint:retention:reward + (timepoint + r 868 eward + timepoint:retention:reward | subject)

Power Analysis and Sample Size. To calibrate our power analysis to achieve at least  $\beta = .95$  to detect our interactions of interest, we first simulated 1000 data sets using the parameters presented in Table 5 and the data generating model described above starting with a sample size of 1500 participants. However, since the maximal model does not always converge, for ease of simulation a simpler data analyzing model was used:

874 hit rate ~ timepoint:reward:retention + (1|sub\_id)

875 Once the first 1000 simulations were complete the proportion of data sets, which yielded 876 our significant interactions of interest at  $\alpha > .020$  was calculated. If the number of data sets 877 which yielded our interaction of interest at  $\alpha > .020$  was lower than 95% the simulation was 878 repeated and the number of participants included was increased by 50. We repeated that process 879 until at least 95% power was achieved, which was the case at 1750 participants.

880 To establish the plausibility of our power analysis, in terms of identifying the timepoint  $\times$  reward  $\times$  retention interaction parameter shown in table 5, we calculated the mean 90% 881 882 confidence intervals across all 1000 simulated data sets for our last simulated scenario of 1750 participants. This was carried out to rule out the possibility that the confidence intervals of that 883 effect include zero as this would indicate that it is possible that no effect would be identified 884 and would mean our predictions are implausible. This was not the case, the lower and upper 885 886 90% confidence intervals were .009 and .021 respectively. We also investigated the vulnerability of our analysis to Type I errors. This was achieved by simulating 1000 data sets 887 888 using the identical parameters above shown in Table 5 with the exception of the timepoint  $\times$ reward  $\times$  retention interaction, which was set to a value of 0. In those simulated data sets 2.10% 889 890 incorrectly identified an effect at p < .020.

Positive Controls. To ensure that the data we have collected are of sufficient quality 891 for testing our hypotheses presented in Table 1, we will perform the following positive controls: 892 1) we will use a repeated measures t-test to confirm that memory between the lowest and 893 highest reward categories is significantly different such that hit rate is greater for high rewards 894 compared to low rewards in the delayed recognition test; 2) we will confirm that a retention 895 interval of 12 hours yields a significant decline in memory performance between immediate 896 897 and delayed testing by comparing d' (collapsed over the other conditions) between immediate and delayed testing using a repeated measures t-test; and, finally, 3) we will confirm that 898 participants memory performance as measured using d' is significantly different from zero at 899 delayed testing collapsed across all other conditions, which it should be if participants are 900 capable of discriminating between targets and lures. In the event that one of these tests yields 901 a statistically non-significant result (as determined using an alpha of p > .020) then equivalence 902 tests<sup>100</sup> will be used and carried out against an equivalence bound of Cohens d = -0.10 - 0.10. 903

If any of the above analyses are found to be equivalent then it will be concluded that our datacannot be used to test our hypotheses.

**Control Analyses.** Equivalence tests<sup>101</sup> will be carried out to determine whether control 906 variables across sleep and wake conditions are statistically equivalent, and therefore can be 907 ruled out as variables that may otherwise explain differences in memory performance between 908 909 those conditions. These tests will be carried out against an equivalence bound of Cohens d = -910 0.10 - 0.10, we consider effects within this range to be unlikely to influence the main analyses proposed in our analysis plan. Variables that are not equivalent will be considered in any 911 912 interpretation of differences in memory performance between sleep and wake conditions and will be added as covariates to the model specified above to determine whether our initial 913 interpretation of the model changes. Therefore, after evaluating our model without any 914 covariates, the covariates will be added sequentially to determine the relative impact of each 915 of them individually on our interpretation of the data. For example, if a given covariate explains 916 917 a significant amount of variability in our data such that the remaining variance explained by our predictions is no longer significant, then it will be concluded that in our design the predicted 918 effect is not detectable. 919

Equivalence tests will be used to compare SSS scores as well as median reaction speed 920 and the percentage of lapses in the PVT during the learning phase and the retrieval phase and 921 the number of words generated in the Regensburger Wortfluessigkeitstest during the retrieval 922 phase between sleep and wake conditions. It is possible that the performance on the motivated 923 learning task improves in the second relative to the first session. We will perform an 924 925 equivalence test to determine whether there are differences between Experimental Sessions 1 and 2 in immediate recognition and if so session number will be included as a predictor in our 926 model to determine whether our conclusions change. The remaining equivalence test that will 927

be performed will be used to examine whether or not the hit rate for low reward items at delayedtesting is statistically equivalent.

Since our sample might include individuals who have a sleep disorder (including
insomnia, somnambulism, sleep apnea, REM sleep behavior disorder, narcolepsy or restless
legs syndrome) or neurological disorder known to impact memory (specifically dementia,
Alzheimer's disease and amnesia), we will include the presence or absence of these afflictions
as predictors in an additional model to determine whether our conclusions change. If so, we
will explore reasons why this may be.

Moreover, it is also unclear whether sleep benefits memories generally across low and 936 high rewards, or more highly rewarded items benefit more at the cost of no sleep benefit for 937 938 the lowest rewarded items. Therefore, we will conduct a repeated-measures t-test to compare the hit rate for the lowest reward category between the sleep and wake conditions at delayed 939 testing. If that t-test is significant at p < .020, it will be concluded that sleep actively 940 consolidates information which individuals are not highly motivated to learn even in 941 competition to more highly rewarded information. If it is not significant, then equivalence tests 942 943 will be conducted against an equivalence bound of Cohens d = -0.10 - 0.10 to conclude that the sleep effect for lowest reward category is not meaningfully higher than 0. 944

In addition, if the main analysis for H3 is not significant (i.e., we do not find a timepoint x retention x reward effect), we will conduct an analysis on a restricted sample. In this sample we will exclude all participants with mental disorders (including sleep disorders) and limit the age range to 20-39 years old. This will allow us to control whether the effect of rewards on sleep-dependent consolidation is only evident for young healthy adults.

Additionally, given that the original experiment which used the Motivated LearningTask found that the benefits of reward on memory were present at high levels of confidence,

952 we will also perform a similar analysis on our data. This will enable us to determine whether the impact of sleep-based memory consolidation on reward information is modulated by 953 confidence, a known proxy of memory strength<sup>102</sup>. Therefore, we will add the participants 954 confidence ratings for correct responses on 'old' trials (i.e. hits) as a parameter to our original 955 analysis and evaluate whether the reward by confidence interaction at delayed recognition is 956 significant. Data at delayed recognition will be used since the original experiment identified 957 the effect after a 24-hour retention interval. It is expected that, if the original study's findings 958 replicate, the magnitude of the benefit of reward on memory will be larger for high confidence 959 responses compared to lower confidence responses. If it is the case that the interaction is 960 significant then further exploratory analyses will be conducted to consider other variables of 961 interest such as retention and timepoint, although we have no strong predictions at this point in 962 963 time.

Finally, we will also perform an analysis using the sensitivity measure d' to enable comparisons between our analyses and previous research on recognition memory (that did not manipulate reward values) and sleep dependent memory consolidation. To do this we will perform t-tests on the delayed recognition data as well as the difference score.

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