The relationship of memory consolidation with task incorporations into dreams – A registered report

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23 Abstract

- 24 Sleep is crucial for memory consolidation, but whether dreams play an essential role in
- 25 memory consolidation is still unknown. This research will examine if incorporating a
- 26 memory task into a dream benefits memory strength in a sleep-stage-dependent fashion. We
- 27 will investigate spontaneous and experimentally induced incorporations using targeted
- 28 memory reactivations. Ninety-two participants will be invited to spend two nights in the sleep
- 29 laboratory, where they will learn a memory task before dream reports are collected. Memory
- 30 performance will be measured before and after sleep as well as four days later.
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32

34 Introduction

35 Memory is essential to humans throughout their lifespan, and sleep plays a crucial role in

36 memory processing^{for a review see 1}. It has been proposed that sleep provides an optimal brain

- 37 state for memory consolidation². However, it is unclear if the subjective experience during
- 38 sleep, i.e., dreaming, plays a role in sleep-dependent memory consolidation processes.
- 39
- 40 Several studies have shown that dreams incorporate recent waking-life experiences^{3,4}. In fact, the content of dreams can be influenced by having participants learn a specific task before 41 42 sleep^{5–7}. But whether this incorporation of a task into dreams is beneficial for memory 43 consolidation remains inconclusive. A review article summarizing 12 published studies 44 investigating the association between task incorporation into dreams and memory task performance has shown inconsistent results⁸. Seven studies have demonstrated at least a 45 46 partial association between incorporating the memory task into the dream and subsequent memory performance^{9–13}. Two early studies found that incorporating an explicit verbal 47 48 memory task (story recall and language learning) into dreams is associated with better memory^{11,13}. However, this effect was not found in another study that used meaningless 49 sentences as stimuli¹⁴. For visuospatial tasks, Wamsley et al. showed an effect of 50 51 incorporating a Maze task into dreams on memory performance both in a nap and overnight paradigms^{10,12}, but not in two other overnight studies^{15,16}. A multisensory visuospatial task 52 benefitted from the incorporation of both the task and the experimental setting¹⁷. For 53 54 procedural tasks, an effect of dream incorporations was found for a virtual reality flying task¹⁸, but not for a mirror tracing¹⁹, balancing²⁰, or video game task²¹. 55 56

57 There are several possible reasons why the findings so far have been discordant. One potential explanation is that the studies used memory tasks relying on different memory 58 59 systems. Hippocampus-based declarative memory tasks have been more consistently shown to benefit from sleep than procedural memory tasks $^{22-25}$. Therefore they might be more likely 60 61 to benefit from incorporation into dreams. Further, the previous studies have several 62 limitations, including the small sample sizes, with six studies relying on fewer than 20 subjects^{11–14,20,26}. Often, very few participants incorporated the task into dreams (< 63 10%)^{10,12,15,19,26}, further reducing the sample size for testing possible associations. Therefore, 64 65 many studies may have been underpowered to find associations, even if they existed. 66

67 A final possible explanation for the inconsistent results could be the different sleep stages during which the dream reports were collected. Some studies collected dream reports without 68 69 distinguishing between the sleep stages in their analysis, while others focused only on either rapid eye movement sleep (REM) or non-REM sleep (NREM). Humans report dreams when 70 71 awoken from all sleep stages²⁷. However, dream reports are more frequent, longer, more emotional, and vivid upon awakenings from REM sleep²⁸. The different sleep stages are also 72 73 associated with a markedly different neurobiological background²⁹. Therefore, it has been 74 hypothesized that the different sleep stages are critical for different aspects of memory 75 consolidation. Specifically, it has been proposed that during NREM sleep, there is a tight 76 coupling between the hippocampus and neocortex, which leads to a high-fidelity replay of 77 recent memories. In contrast, in REM sleep, memories are integrated with more remote memories and lower-fidelity replay, aiming to protect old knowledge from interference³⁰. 78 79 This could explain why many studies only find an association between NREM sleep with declarative memory strength the next morning^{22,31,32}. 80

81

The active systems consolidation hypothesis³³ proposes that sleep plays an active role in 82 83 memory consolidation through spontaneous (i.e., not externally triggered) and repeated 84 neural reactivations (i.e., activations of the same neurons in the same or reversed sequence), which have been measured in rodents^{34–36} and suggested in humans^{37–41}. The hypothesis 85 suggests that reactivations in the hippocampus trigger associated reactivations in cortical 86 areas orchestrated by slow waves and spindle-ripple events^{42,43}, both hallmarks of NREM 87 88 sleep. Evidence for memory reactivations during REM sleep is more debated, potentially due 89 to more remote memories being reactivated or the reactivations being of lower fidelity (and 90 combining recent and remote memories). Studies in humans have shown that these 91 reactivations can also be induced by presenting cues (e.g., sounds, odors) previously 92 associated with the memory trace during sleep, so-called targeted memory reactivations 93 (TMR)⁴⁴. In rats, it has been shown that these cues induced neural reactivations related to the 94 specific associated memory⁴⁵. The evidence for memory-strengthening effects comes mainly from reactivating in NREM but not REM sleep^{46–48}, including a meta-analysis, which only 95 found a significant effect for TMR in NREM sleep⁴⁹. 96

97

98 When dividing the studies included in the above-mentioned review by sleep stage the dream 99 reports were collected from (ignoring studies that mixed dream reports from different sleep 100 stages), we find weaker evidence for the association of REM dreams with memory 101 performance (only 1 out of 5 studies with positive findings), while NREM dreams potentially

- 102 show an association, but have been studied less (2/2 studies). Therefore, it seems plausible
- 103 that dreams are biased by memory consolidation processes during sleep and thus reflect the
- 104 specific consolidation processes happening during each sleep stage.
- 105

106 In summary, NREM and REM sleep appear to have complementary roles in memory 107 consolidation during sleep. However, it is currently unclear whether dreams represent a 108 functionless epiphenomenon of sleep-dependent memory processing or whether they play a 109 direct role in sleep-dependent memory consolidation - and if so, whether that role differs for 110 NREM and REM conscious experiences. In this study, we will use a declarative memory task 111 (word-picture association task), which has previously been shown to be affected by sleepdependent memory consolidation processes and suitable for TMR⁴⁶ and has a high 112 incorporation rate into dreams⁹, therefore overcoming many of the limitations of previous 113 114 studies. We will use a serial awakening paradigm in NREM and REM sleep to systematically 115 disentangle the effects of task incorporations on the different sleep stages. Furthermore, we 116 will also address the sample size issue by collecting dream reports from 92 participants. 117 Lastly, we will employ a two-step approach: spontaneous incorporations (correlational 118 approach) and auditory TMR (experimental approach). Using TMR enables us to manipulate 119 memory processes during sleep, therefore probing if we can experimentally modify dream content by inducing memory replay events. While a recent study has found that TMR did not 120 affect the incorporation of a motor task into dreams⁵⁰, the study used only a single short 121 122 reactivation period without collecting a dream report immediately afterward. During the sleep 123 onset period, dream content has been successfully biased by using auditory stimulation⁵¹, and 124 during lucid dreams, participants were able to reply to questions presented aurally (among 125 others)⁵². Using TMR also enables us to manipulate NREM and REM sleep independently. 126 Considering that the function of dreams has long been a topic of interest and continues to be 127 debated ^{53,54}, this study will provide a large empirical dataset to understand two potential 128 functions of dreaming: memory and emotional processing. 129

130 In this study, we will test the following hypotheses in a sample of 92 participants:

- 131
- 132 Hypothesis 1a) Incorporations of the picture categories of the memory task 133 into NREM dreams, but not REM dreams, are associated with improved 134 performance on the memory task the next morning and 4-days later.

135 136 • Hypothesis 2) TMR leads to the subsequent incorporation of the associated image categories into dreams during both NREM and REM sleep stages.

137

138 Methods

139 *Ethics information*

- 140 The research was approved by the CMO Regio Arnhem-Nijmegen (NL75927.091.20). All
- 141 participants will give written consent after the procedures have been fully explained.
- 142 Participants will be paid $250 \notin$ for full participation.

143 **Design**

144 Procedure

145 Exact details on the procedure can be found in the supplemental methods. Here, we provide a146 brief overview of the study design.

147

148 Data will be collected in a within-subjects design across an intake session, adaptation night,

- 149 and two experimental nights. After volunteers have signed up for the study, they will be
- 150 invited to a short intake session. Volunteers fill out the informed consent and complete the

screening questionnaires (see Supplementary Table 1 and Figure 2). If a volunteer is eligible

- to participate, they will receive a structural T1 and T2 magnetic resonance imaging (MRI)
- 153 scan. Then the adaptation night and experimental sessions are scheduled. The participant gets
- a sleep tracker (Fitbit Inspire 2) and instructions on a sleep and dream diary. Participants will
- start wearing the tracker and digitally fill out the diaries for one week before the first
- 156 experimental session.
- 157

158 For the adaptation night, participants will be invited to the Donders electroencephalography 159 (EEG) laboratory at 21:30. The adaptation night enables participants to get used to the sleep 160 laboratory environment and sleep while wearing the EEG cap. During the adaptation night, 161 participants will complete a Stroop task and answer several questionnaires, including sleep 162 and mood questionnaires. Participants will sleep while EEG, electrooculography (EOG), 163 electromyography (EMG), electrocardiography (ECG), and electrogastrography (EGG, opt-164 in) are recorded. Participants will be provided with a sleep opportunity from 23:00 to 07:00. 165 In the morning, they will fill out a questionnaire about their sleep quality and be asked to

166 recall their dreams.

167

The two experimental sessions, separated by at least 14 days, will be counterbalanced 168 169 between the participants with random assignment (see Figure 1). Both the order and the 170 images used in the task will be randomized among all participants. Participants will be 171 blinded to the experimental session. However, experimenters cannot be blinded. Both 172 experimental sessions will start at 19:30 and end at approximately 8:30. Participants will fill 173 out several questionnaires during the application of the electrodes. Again an EEG, EOG, 174 EMG, ECG, and EGG are recorded. Participants will complete a memory task (word-picture 175 association learning task) similar to the one used in a previous study⁹ with three learning 176 blocks and two recall blocks separated by a 10-minute break. Words are presented on two 177 speakers 100 cm from the head on each side. In experimental session A, participants will be 178 woken up a maximum of four times from NREM and four times from REM sleep, at least 15 179 minutes after the first start of the respective NREM/REM sleep stage. A free dream report for 180 the last minute of sleep will be elicited during each awakening, followed by ratings on several 181 scales. Then dream reports for previous parts of the dreams or previous dreams are collected 182 and rated. Participants will have been trained to collect such dream reports concerning the 183 minute preceding awakening during the week before each experimental session. In 184 experimental session B, the awakenings are preceded by auditory cueing of the words used in 185 the memory task (TMR). The words will be presented for 5 - 15 minutes before each 186 awakening, and the awakening takes place 10 - 30 seconds after the last audio cue. The 187 words associated with different image categories will be used as cues in NREM and REM 188 sleep (with one remaining uncued category). The sleep opportunity will end at 7 am. After 189 giving dream report, they will rate their sleep. Then they will complete another recall of the 190 memory task. Lastly, they will do a localizer task. 191

Four days after each experimental session, there will be a follow-up on the memory recallperformance using the same recall blocks.

194 195

196 Memory Task

197 To measure memory performance, we will use an adapted version of the word-picture 198 association task we have used previously⁹. The task consists of 99 word-picture associations 199 of neutral words with positive and neutral pictures, which are now extended with negative 200 pictures. The pictures are related to 6 categories (3 different categories for each experimental 201 night): mammals, vehicles, food, children, water, and buildings. Each category has 11 positive, 202 11 negative, and 11 neutral pictures. At the beginning of the task, one image unrelated to the 203 categories will be presented (primacy effect). The pictures are taken from the NAPS, IAPS, 204 NDPS, DIRTI, and Oasis databases which contain large sets of images rated on emotional valence and arousal^{55–59}. Still, the images had to be supplemented with 55 images because not 205 206 enough were available to fit our criteria (see supplemental info). The words are taken from the 207 auditory English Lexicon (AELP) project⁶⁰. The chosen words have two syllables as well as a 208 similar length (636 - 805 ms), neutral valence and arousal (between 4 - 6), and be well known 209 (>88% recognition). Furthermore, words are selected not to contain any reference to the image 210 categories. The association between words and pictures was done randomly but will be 211 consistent across participants.

The memory task has six blocks: two rating blocks, two learning blocks (the second is repeated once), and two recall blocks. The recall blocks contain a valence/arousal recall and a cued recall, where participants hear the word and describe the associated picture with keywords.

215

216 Sleep Recording

EEG will be recorded with 64 channels cap (actiCAP original) and the BrainAMP by Brainproducts. Each electrode location will be prepared using an abrasive paste (Nuprep) and electrode paste (Abralyt). Impedances will be checked to be below 20 k Ω . Additionally, two electrodes will be used to measure EOG, ECG, and three electrodes for chin EMG (using BrainAMP ExG, impedance level below 10 k Ω) and an 8 channel EGG (subset of participants, opt-in, impedance level below 25 k Ω). Data will be recorded with a 500 Hz sampling frequency and referenced to the vertex.

224

225 Targeted Memory Reactivation

226 The words from the word-picture association task will be used. The words associated with 227 different image categories are used as cues in either NREM or REM sleep (with one category 228 used as an uncued control). Words will be presented for maximally 15 minutes before each 229 awakening after 3 minutes of stable sleep (NREM2/NREM3 or REM) has been reached. Words 230 are presented starting from 30dB SPL via two loudspeakers situated 230 cm from the head of 231 the subject. Sound levels will be increased until a K-complex (NREM), or arousal (REM) is 232 elicited in each sleep stage to the maximum of 65dB SPL and then kept at that sound level 233 (NREM) or one below (REM).

235 Sampling plan

236 Participants

237

238 Ninety-two healthy male and female volunteers aged 18-35 will be recruited from the general 239 area around Nijmegen, Gelderland, Netherlands. The inclusion criteria to participate in the 240 study are to be physically and mentally healthy, have a dream recall frequency of more than 241 once a week, have high English language proficiency, and can sleep in the sleep laboratory. 242 Exclusion criteria are history of or current sleep disorder, current physical or mental illness, 243 intake of medication that influences sleep/wake cycle and/or memory consolidation, frequent 244 coffee consumption (> 4 cups/day), skin disease at intended electrode sites, chronotype 245 incompatible with the study time window, inability to sleep during adaptation night, 246 contraindications for MRI (including pregnancy/nursing), irregular sleep pattern leading up to 247 experimental sessions. Supplementary Table 1 reports the exact criteria for each 248 inclusion/exclusion and the corresponding measurement used. Data will be excluded from 249 single experimental nights if sleep duration is too short (≤ 3 hours). The specific awakening is 250 excluded if less than 85% of auditory cues are presented in the correct sleep stage or less than 251 5 minutes of auditory cueing can occur. Any participant replacements, dropouts, and 252 exclusions will be reported.

253

255

254 Sample Size Calculation

256 We conducted a power analysis using simulations⁶¹ based on the results of our previous study⁹. Simulations were done in RStudio⁶² and using the packages *tidyverse*⁶³, *lme4*⁶⁴, 257 *lmerTest*⁶⁵, *fitdistrplus*⁶⁶, *broom.mixed*⁶⁷, *faux*⁶⁸. For hypothesis 1, we simulated datasets 258 259 containing 10 - 120 participants (across 1000 repetitions) based on estimates from the data of 260 our previous study (n = 22). 95% power was reached with 90 participants (suppl Fig 2a). Using a sensitivity analysis with 92 participants and 1000 repetitions while varying the beta 261 262 for the interaction of interest (NREM incorporation * time) from 3.0 to 6.0 (in 1.0 steps), we 263 estimate that $b \ge 5$ will be detected with 95% power and $b \ge 3.9$ with 80% power (b = 5.14 264 estimated from the previous study, suppl Fig 2b). The same sensitivity analysis was done for 265 the model controlling incorporation for chance level, estimating 95% power for $b \ge 2.4$ and 266 80% power for $b \ge 1.8$ (b = 7.12 estimated from the previous study, range tested 0 - 6.0, 267 suppl Fig 2c). For hypothesis 2, we simulated datasets based on data from our previous study 268 on incorporating the task into the dreams (comparison task from before sleep and the one 10

- 269 weeks before/after). We estimate that the effect size of TMR will be similar (based on similar
- 270 effect sizes reported for TMR on memory performance compared to general sleep effects).
- For 92 participants (1000 repetitions), we showed that the sensitivity of our analyses was
- 272 95% for $b \ge 0.4$ and 80% for $b \ge 0.3$ (0.45 estimated from the previous study).
- 273

274 Analysis Plan

- EEG Data will be analyzed in MATLAB⁶⁹ using SpiSOP/Sleeptrip⁷⁰ and Fieldtrip⁷¹.
- 276 Behavioral data will be analyzed using R and R Studio⁷².
- 277
- 278 Sleep Scoring

279 EEG data will be imported into MATLAB. Data will be filtered (0.5 - 50 Hz bandpass)280 Butterworth filter) and downsampled to 128 Hz. Data will then be re-referenced to Mastoids 281 (F3/F4, C3/C4, O1/O2), and sleep will be scored in 30-second epochs using an automatic sleep scoring algorithm⁷³ and one blind rater based on the AASM criteria⁷⁴. A second rater 282 283 will go over epochs where there is a disagreement between the algorithm and human scoring. 284 Next, we will check if all the awakenings were in the correct sleep stage (preceding 60 s). If 285 not, data for that awakening will be excluded. Then we will check that the reactivations were 286 within the correct sleep stage. If < 85% of reactivations previous to an awakening are in the 287 correct sleep stage, the awakening will be excluded from the analysis. We will calculate 288 descriptive information on the sleep stages of the adaptation night and experimental nights 289 (mean +/- sd).

290

292

Two raters will rate the image description from the cued recall if the image description fits with the associated image. If the two raters disagree, they will discuss the disagreement and come to a final score. If the correct image is remembered, 1 will be assigned, otherwise, 0. We will then calculate a sum of how many images were correctly remembered (0 - 100).

297

298 Dream Reports

Dream reports are recorded and later transcribed. The reports from the nighttime awakenings
will be used to calculate the incorporation scores. Irrelevant information will be removed

301 (e.g., "I dreamed that..."). Dreams will then be shuffled into a random order. The dreams will

²⁹¹ Memory Task

302 be rated by two independent raters blinded to condition and experimental night. Both raters 303 will be trained beforehand. The raters will rate all dreams according to a prespecified manual 304 on the incorporation of any of the image categories as well as of the laboratory and 305 experimental setting and unusual auditory experiences. Furthermore, they will rate how 306 realistic/bizarre the dreams were and the arousal and valence of the dreams, and the length of 307 each dream. The ratings from the two raters will be compared to see if an acceptable 308 agreement is reached (kappa > 0.6 for each category). If kappa is below that, the dreams will 309 have to be re-rated. For the disagreements, a third trained blinded rater will decide on the 310 final rating. Incorporations are analyzed as % of incorporated categories within each dream 311 report across all dream reports from a specific sleep stage for hypothesis 1 and separately for 312 each awakening per specific category for hypothesis 2.

313

314315 Statistical Analysis

- 316
- All statistical analyses will be performed in R Studio⁶². Analyses will be performed using the
- 318 *lme4*⁶⁴ and *lmerTest*⁶⁵ packages for the multilevel models. Additionally, the packages
- 319 ggplot2, ggpubr, cowplot, RColorBrewer, plotly, sjPlot, dplyr, magrittr, tidyr, reshape,
- 320 *kableExtra* will be used for data handling and plotting^{75–84}. First, we will examine outliers in
- 321 each variable. Outliers will be inspected but not removed unless there is a reason to believe
- 322 they are due to measurement error (e.g., the wrong task presented, audio not working, etc.).
- 323 Our primary analyses are in a Null Hypothesis Significance Testing (NHST) framework) but
- 324 are extended with a Bayesian Framework in the case of non-significant results.
- 325
- 326 Control Analyses

327 In the first step, we will run two control analyses to determine if our task was incorporated

- 328 into dreams and if TMR benefits memory performance.
- 329 To check if the task was successfully incorporated into dreams, we will run the following
- 330 multilevel model with random intercepts:
- 331 Incorporation_Dreams ~ Sleep_stage + Task + (1 | SubjectID)
- 332

333 Incorporation_Dreams (numeric) will reflect the incorporation of all the task categories for

- each awakening separately across the task categories seen in this experimental night (% of 3
- categories) and the categories seen in the other experimental night (% of 3 categories).

- 336 Sleep_stage (sum coded categorical) will reflect the sleep stage of the awakening (NREM = -
- $337 \quad 0.5, \text{REM} = 0.5$).
- 338 *Task (sum coded categorial)* will reflect if the incorporation is the task seen in this
- experimental session or the other one (other session = -0.5, this session = 0.5).
- 340 *SubjectID* (*categorical*) refers to the participant ID to model individual intercepts.
- 341 If *Task* shows a significant effect, we will interpret this as evidence that the task was
- incorporated into dreams beyond the level of random incorporations.
- 343
- To control if the TMR worked, we will run the following multilevel model with random
- 345 intercepts per participant
- 346 Correct_response_category ~ TMR + sleep_stage + (1 | SubjectID)
- 347
- 348 *Correct_response_category (numeric)* will be the memory performance per category (0 33) 349 items).
- *TMR (dummy coded categorical)* will reflect if TMR was performed for this category (no = 1, yes = 0).
- 352 Sleep_stage (sum coded categorical) will refer to the sleep stage the TMR was performed in
 353 (none = 0.5, NREM = -0.25, REM = -0.25).
- 354 *SubjectID* refers to the participant ID to model individual intercepts.
- 355 If *TMR* shows a significant effect, we will interpret this as evidence that TMR significantly
- improved memory performance. Furthermore, we can look at the effect of *Sleep_stage* to
- 357 examine if this was evident for both NREM and REM sleep.
- 358 We will analyze our two hypotheses regardless of the control analyses, however, if either
- 359 control analysis fails to show an effect, then the interpretation of the results will be limited.
- 360
- 361 Hypothesis 1
- 362 To analyze H1, we will run two models, one including the raw incorporation rates of the task
- 363 categories into dreams and one with adjusted incorporation rates by the baseline level
- 364 estimate from the incorporation in the other night.
- 365 The primary multilevel model with random intercept per participant is the following:
- 366 Correct_response ~ Timepoint + Night (spontaneous/TMR) + NREM_Dream_Incorporations +
- 367 REM_Dream_Incorporations + NREM_Dream_Incorporations:Timepoint +
- 368 **REM_Dream_Incorporations:Timepoint** + (1 | SubjectID/Night)369
- 370 *Correct response (numeric)* reflects the number of correctly remembered images (0 99).
- 371 *Timepoint (dummy coded categorical)* reflects the timepoint of recall (Evening = 0, Morning
- 372 = 1, Follow up = 1).

- 373 *Night (sum coded categorical)* reflects which experimental night (Sponteanous = -0.5, TMR 374 = 0.5).
- 375 NREM_Dream_Incoporations (numeric) reflects the incorporation percentage of the task
- 376 seen in the experimental night across all reported NREM dreams.
- 377 *REM_Dream_Incoporations (numeric)* reflects the incorporation percentage of the task seen
- in the experimental night across all reported REM dreams.
- 379 NREM_Dream_Incorporations: Timepoint (interaction) Interaction effect to quantify changes
- between baseline (evening) and morning/follow-up dependent on incorporations into NREMdreams.
- 382 *REM_Dream_Incorporations:Timepoint (interaction)* Interaction effect to quantify changes
- 383 between baseline (evening) and morning/follow-up dependent on incorporations into REM
- dreams.
- 385 *SubjectID* refers to the participant ID to model individual intercepts.
- 386 The secondary multilevel model will be the same except that the incorporations are
- 387 conceptualized differently. Incorporations will be difference scores between incorporation in
- 388 the experimental night when the image category was presented compared to 'incorporation'
- 389 (spontaneous appearance) in the other night.
- 390 Correct_response ~ Timepoint + Night + NREM_inc_cor + REM_inc_cor + NREM_inc_cor:Timepoint +
- 391 **REM_inc_cor:Timepoint** + (1 | SubjectID/Night)
- 392
- 393 *NREM_inc_cor (numerical)* reflects incorporation into NREM dreams in the night the image
 394 category was presented minus incorporations in the other night.
- 395 *REM_inc_cor (numerical)* reflects incorporation into REM dreams in the night the image
- 396 category was presented minus incorporations in the other night.
- 397 *NREM_inc_cor:Timepoint (Interaction)* Interaction effect to quantify changes between
- 398 baseline (evening) and morning/follow-up dependent on incorporations into NREM dreams399 (baseline-adjusted).
- 400 *REM_inc_cor:Timepoint (Interaction)* Interaction effect to quantify changes between
- 401 baseline (evening) and morning/follow-up dependent on incorporations into REM dreams
- 402 (baseline-adjusted).
- 403 If the interaction NREM_Dream_Incorporations:Timepoint is significant in either model, we
- 404 will interpret this as evidence for H1 that NREM dream incorporations are significantly
- 405 associated with memory performance after sleep. If the interaction
- 406 *REM_Dream_Incorporations:Timepoint* is significant in either model, we will interpret this
- 407 as evidence against H1 that REM dream incorporations are not significantly associated with

408	memory performance after sleep. If the interaction is only significant in the secondary but not
409	primary model this means that baseline adjustment for dream incorporations is necessary to
410	detect association with memory performance.
411	
412	
413	Hypothesis 2
414	For hypothesis 2, we will run the following primary generalized multilevel model (binomial
415	distribution) using random intercepts:
416 417	Incorporation_Dreams ~ Cued_Topic + Sleep_stage + (1 SubjectID)
418	Incorporation_Dreams (numeric) will reflect the incorporation of the task category
419	(separately) for each awakening individually across the task categories seen in this
420	experimental night (% of 3 categories)
421	Cued_topic (dummy coded categorical) will reflect if the topic was cued prior to the
422	awakening or not (yes = 0 , no = 1)
423	Sleep_stage (sum coded categorical) will reflect the sleep stage from which the awakening
424	occurred (NREM = -0.5 , REM = 0.5)
425	If <i>Cued_Topic</i> is significant, we will interpret this as evidence for H2, meaning that TMR
426	significantly influences dream content. Furthermore, if Sleep_stage is significant, we will
427	interpret this as evidence that this effect depends on the sleep stage (i.e., it works better in one
428	of the sleep stages).
429	Additionally, we will run a following secondary generalized multilevel model (binomial
430	distribution) using random intercepts:
431 432	Incorporation_Dreams ~ Cued_Topic + Sleep_stage + Time_cue_awakening + (1 SubjectID)
432 433	Incorporation_Dreams (numeric) will reflect the incorporation of the task category
434	(separately) for each awakening individually across the task categories seen in this
435	experimental night (% of 3 categories)
436	Cued_topic (dummy coded categorical) will reflect if the topic was cued prior to the
437	awakening or not (yes = 0, no = 1)
438	Sleep_stage (sum coded categorical) will reflect the sleep stage from which the awakening
439	occurred (NREM = -0.5 , REM = 0.5)
440	Time_cue_awakening (numeric) will reflect the time delay between the last TMR cue and the
441	awakening in seconds
442	

If *Cued_Topic* is significant in any of the two models, we will interpret this as evidence for H2, meaning that TMR significantly influences dream content. Furthermore, if *Sleep_stage* is significant, we will interpret this as evidence that this effect depends on the sleep stage (i.e., it works better in one of the sleep stages). Lastly, if *Time_cue_awakening* is significant, we will interpret this as evidence that the incorporation depends on the awakening timepoint (i.e., depending on the direction of the effect it can only be detected immediately or delayed).

450 If the initial NHST results in a p-value above our 0.5 alpha threshold for the specified fixed 451 effects, we plan to explore further the extent to which our data provides evidence against/for 452 our hypotheses by using Bayesian methods, specifically Bayes factors BF01 to quantify how 453 much more likely the null hypothesis is relative to the alternative hypothesis. We will use the

454 $bmrs^{85}$ and $BayesFactor package^{86}$ to implement the Bayesian analyses. We will use a

455 balanced null comparison to test for the presence/absence of the fixed effect⁸⁷. We will

456 follow the guidelines proposed by ⁸⁸ and consider the evidence to be: inconclusive/null if

457 BF01 = 1; weak in favor of H0 if 1 < BF01 < 3; moderate in favor of H0 if 3 < BF01 < 10;

458 strong in favor of H0 if 10 < BF01 < 30; weak in favor of H1 if 1/3 < BF01 < 1; moderate in

459 favor of H1 if 1/10 < BF01 < 1/3; strong in favor of H1 if 1/30 < BF01 < 1/10.

460

To ensure the robustness of the results, models will be additionally analyzed with outliers (> 3 SD for each specific measure) removed at the cell level. While interpretations will be based on the models with outliers included, these additional analyses will be used to interpret if the effects are robust or dependent on a few participants with extreme values.

466 Data availability

467 All data used in this manuscript will be available on the Donders Data Repository and the468 DREAM database for the Stage 2 review.

469

470 Code availability

471 Code will be made available on the Donders Data Repository and OSF for the Stage 2 review472 and will be made public upon acceptance.

473

474 **Results**

- 475 Do **not** include a **Results** section.
- 476

477 **Discussion**

- 478 Do **not** include a **Discussion** section.
- 479
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481 **References**

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748

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Competing interests

The authors declare no competing interests.

766 Figures

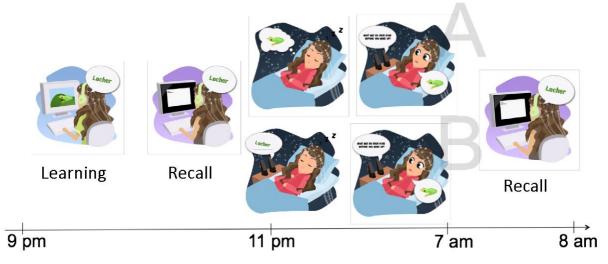
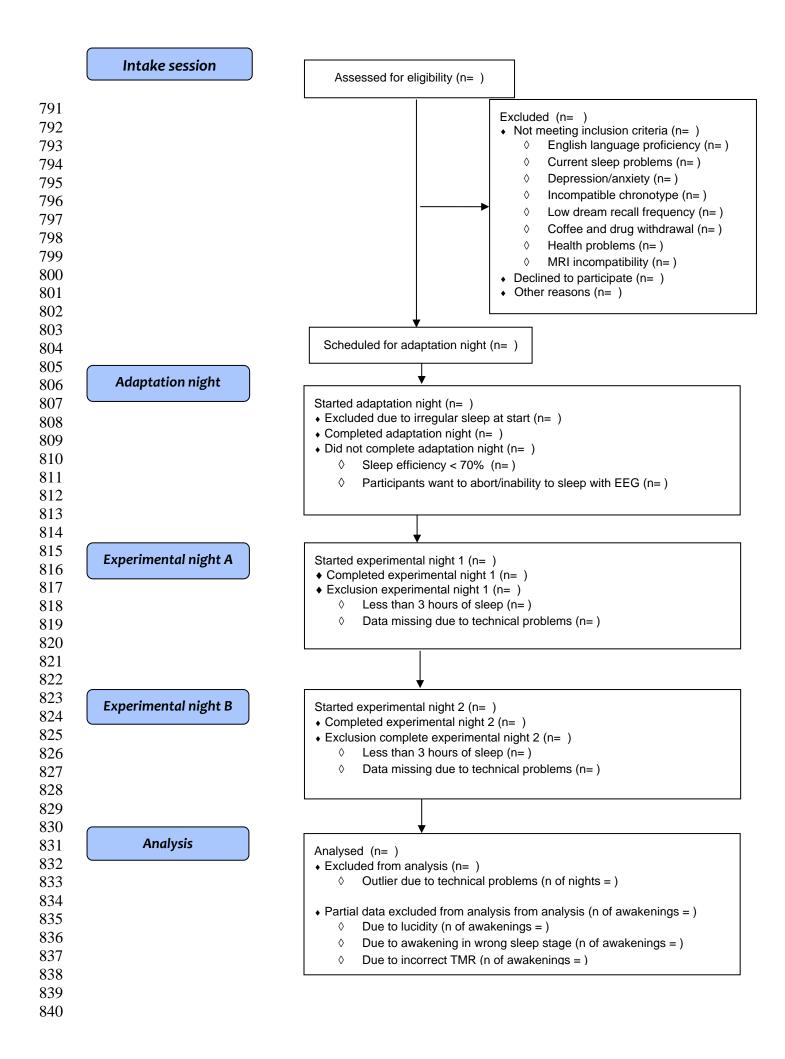


Fig 1. The procedure of the two experimental nights. On both nights, participants will
learn a task with a recall session before and after sleep, and dream reports will be collected

770 from NREM and REM sleep. In night B, targeted memory reactivation will be applied for

- approximately 15 minutes prior to awakenings.



- Figure 2. CONSORT Style diagram of inclusion and exclusion across the different steps of the study.

Table 1. Design Table

Question	Hypothesis	Sampling plan (e.g., power analysis)	Analysis Plan	Rationale for deciding the sensitivity of the test for confirming or disconfirming the hypothesis	Interpretation given to different outcomes	Theory that could be shown wrong by the outcomes
Control analyses	Images of the task learned prior to sleep are incorporated more often into dream content	NHST N = 92	Incorporation_Dreams ~ Task + Sleep_stage + (1 SubjectID)	Sample size determined by H1/H2	Task P < 0.05 Task is significantly more often incorporated as expected from random incorporations P > 0.05 Task incorporation could be random	If task incorporation is random and not above chance, this would greatly limit the interpretation of the study.
Control analyses	TMR was successful in improving memory performance	NHST N = 92	Correct_response_category ~ TMR + Sleep_stage + (1 SubjectID)	Sample size determined by H1/H2	TMR P < 0.05 We see an effect of TMR on memory performance P > 0.05 no effect of TMR on memory performance	If TMR does not show an effect on memory performance, this will limit the interpretation of hypothesis 2.
Are task incorporations into dreams associated with the memory strength of the task (measured as memory performance) in a sleep-stage-	H1) Incorporations of the picture categories of the memory task during NREM dreams are associated with improved performance on the memory task	NHST N = 92 based on simulations from data from the previous study	Primary multilevel model Correct_response ~ Timepoint + Night + NREM_Dream_Incorporation s + REM_Dream_Incorporations + NREM_Dream_Incorporati ons:Timepoint + REM_Dream_Incorporation	Simulation of 1000 datasets based on estimates from the previous study, with 92 participants, we have 95% power to detect effect sizes similar to the previous study	NREM_Dream_Incorporations _Experimental_Night:Timepoint in either model P < 0.05 Support for H1 P > 0.05 (in both models) Follow up Bayes analysis 1 <bf<10 =="" evidence<br="" unclear="">10<bf<30 =="" evidence="" for="" h0<="" strong="" td=""><td>Task incorporation into NREM sleep is not significantly associated with memory strength.</td></bf<30></bf<10>	Task incorporation into NREM sleep is not significantly associated with memory strength.

dependent fashion?	the next morning and 4-days later.		s:Timepoint + (1 SubjectID/Night) Secondary Multilevel model correcting for baseline incorporation of each category (frequency in the other night) Correct_response ~ Timepoint + Night + NREM_inc_cor + REM_inc_cor + NREM_inc_cor:Timepoint + (1 SubjectID/Night)		BF>30 = very strong evidence for H0 If either model shows a significant effect this is support for H1, however, interpretation is different. If the secondary model is significant but not the primary this means that only when adjusting for the baseline effects of task in dreams can a significant effect be detected.	
Does TMR influence dream content?	H2: TMR leads to subsequent incorporation of the associated image categories into dreams during NREM and REM sleep stages.	NHST N = 92 based on simulations from the previous study	Multilevel generalized model (binomial distribution) Incorporation_Dreams ~ Cued_Topic + Sleep_stage + (1 SubjectID) Secondary multilevel model correcting for time between TMR and awakening Incorporation_Dreams ~ Cued_Topic + Sleep_stage + Time_cue_awakening + (1 SubjectID)	Simulation of 1000 datasets based on estimates of task incorporation vs. random incorporation into dreams from the previous study, with 92 participants, we have 95% power to detect effect sizes in the range that the memory task had an influence on incorporation, as TMR data is not directly available. However, based on the literature, TMR effects should be similar in effect size.	Cued_Topic P < 0.05 Support for H2 P > 0.05: Follow up Bayes analysis 1 <bf<10 =="" evidence<br="" unclear="">10<bf<30 =="" evidence="" for="" h0<br="" strong="">BF>30 = very strong evidence for H0 Sleep_stage P < 0.05 Support that this is sleep stage-dependent P > 0.05 no support that this is sleep stage-dependent Time_cue_awakening P < 0.05 Support that it's dependent on immediate/delayed awakenings</bf<30></bf<10>	TMR does not significantly influence dream content; therefore, dreaming does not directly reflect memory consolidation processes.

	P > 0.05 no support that it is dependent on awakening time If either model shows a significant effect this is support for H2, however, interpretation is different. If the secondary model is significant but not the primary this means that only when adjusting for time between cue and awakening the incorporation into dreams can be detected. Depending on the direction of the effect this means that either Incorporations can only be detected if awakenings are done immediately, or alternatively that incorporations need a longer time to happen and that immediate awakenings disrupt this process.
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- 853 Supplementary information
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855 Supplemental Methods

856 857 **Design**

We will collect data in a within-subjects design across an intake session, adaptation night, and two experimental nights. The study, including all questionnaires, will be conducted in

English. This registered report will not analyze several measures collected within the study.

860 861

862 Recruitment: Volunteers will be recruited via the SONA database of the Donders Institute, 863 social media, and physical notice boards. After participants have signed up for the study, a 864 telephone call will explain the details of the study, and the study information will be provided 865 by email. Participants will then be invited to a short intake session (1 hour). A brief recap of 866 the study procedure will be given during this session. Participants will also be informed that 867 they will be excluded from participation in case they (i) do not fit one of the inclusion 868 criteria, (ii) fit any exclusion criteria, or (iii) when no data of sufficient quality can be 869 acquired due to any unforeseen reasons. This explicit declaration is followed by the 870 opportunity for the participant to ask any remaining questions. Once all questions are 871 answered, the participants will sign the informed consent agreement (5 minutes). Then they 872 will fill out all questionnaires and tasks used to screen eligibility for the study. The 873 questionnaires will be presented digitally using Castor EDC. The questionnaires include the Boston Naming test (15-item form, 5 minutes)⁸⁹, the Pittsburgh Sleep Quality Index (PSQI, 5 874 minutes)⁹⁰, the Beck Depression Inventory (BDI, 5 minutes)⁹¹, the Beck Anxiety Inventory 875 (BAI, 3 minutes)⁹², a General Health Questionnaire (lab developed on Project OSF, 5 876 877 minutes), a question on dream recall frequency (taken from MADRE,1 minute)⁹³, the Munich Chronotype Questionnaire (MCTQ, 5 minutes)⁹⁴, an MRI screening questionnaire (developed 878 879 by the Donders Institute, 5 minutes), and a questionnaire on the frequency of dream 880 categories (lab developed on Project OSF, 10 minutes). The questionnaires are then checked 881 for exclusion criteria (see Supplementary Table 1). If a participant meets one of the exclusion 882 criteria, they will be excluded from participation and paid (6 \in), and a replacement participant 883 will be recruited. If all criteria are fulfilled, the participants will do a structural T1 and T2 884 Magnetic Resonance Imaging (MRI) scan on a Prisma or PrismaFit (3T) (20 minutes). The 885 MRI data will not be analyzed as part of the registered report. Then the three nights in the 886 sleep laboratory (adaptation and both experimental nights) are scheduled. The participants

will start collecting sleep data using a sleep tracker (Fitbit Inspire 2) and a sleep diary, as well
as a dream diary (project OSF) for one week before the first experimental session. The dream
diary is based on the dream protocol used in the laboratory so that participants are
familiarized with the questions⁹⁵. Both are presented digitally and can be completed on a
computer or phone. The sleep and dream tracking procedure is explained in detail, and
participants can ask questions (10 minutes). Participants will be reminded on their phones to
fill out their questionnaires each morning.

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895 896 Adaptation night: The adaptation night is scheduled as closely as possible to the first 897 experimental night (the night before the first experimental night, maximally seven nights 898 before) and at least 6 days after the intake session. Participants will be invited to the Donders 899 EEG laboratory at 21:30. They will be asked to refrain from any alcohol/drug intake during the 900 study day, caffeine intake after lunch (maximum of 2 coffees in the morning according to their 901 usual intake), and get up at or before 08:00 (checked with participant report and sleep tracker). 902 The participants will get a short description to read of the adaptation night and make themselves 903 ready for bed. Then we will apply the EEG cap and EOG, EMG, ECG, and EGG (EGG is opt-904 in for participants) electrodes. During this time, the participants will fill out the following 905 questionnaires: a check on alcohol/drug/caffeine intake (2 minutes, on project OSF), the "Schlaffragebogen A" (sleep questionnaire A, lab translated from German, SF-A/R, 10 906 907 minutes)⁹⁶ about the previous night and the "Mehrdimensionaler Befindlichkeitsfragebogen" 908 (multidimensional mood questionnaire, lab translated from German, MDBF, 3 minutes)⁹⁷, a 909 lab-developed dream memory questionnaire (30 minutes on project OSF), and the daydreaming 910 frequency scale (DDFS, 5 minutes)⁹⁸. They will complete a color-naming Stroop task across 911 one practice and five experimental blocks (24 congruent, 12 incongruent trials, 10 minutes) 912 and trail making test (TMT, 5 minutes)⁹⁹.

913 At 23:00, participants will go to bed and be able to sleep until 07:00. An investigator will 914 always be present in the experimenter room, and participants are instructed to call out if they 915 need anything (e.g., go to the toilet). If participants cannot fall asleep (either after 1.5 hours or 916 when participants request it), we will first remove the EGG. If they still cannot sleep (after 3 h 917 or when they request it), we will remove all electrodes and discontinue the study (they will 918 have the option to sleep in the laboratory or go home). At 07:00, the sleep opportunity will end. 919 They will fill out a questionnaire about their sleep quality (SF-AR) and recall their dreams. 920 Then the EEG and other electrodes will be removed, and participants can shower and get

dressed. Afterward, we will confirm that they want to continue the study and are eligible basedon sleep efficiency. At around 7:40, the adaptation night will be done.

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925 Experimental Sessions

The two experimental sessions will be counterbalanced between the participants with random assignment (random number generator (sample in R) will be used for each participant) and additional counterbalancing of the memory task categories. Participants are blinded to the condition. The two experimental conditions are scheduled at least 14 days apart. Participants are instructed to abstain from alcohol and drugs on experimental days and to get up before 08:00. No caffeine intake is allowed after lunch, with a maximum of two coffees in the morning. Alcohol and caffeine intake is checked with a questionnaire.

Furthermore, sleep tracker data will be checked to confirm that no sleep nights have been skipped in the previous week. A stool sample is collected by the participant with a kit (OMNIgene•GUT | OM-200) on the day of the experimental session (not analyzed within this registered report, opt-in by participants). The experimental sessions will start at 19:30. The participants will get written instructions explaining the experimental session. Afterward, they will get ready for bed. Then polysomnography will be applied.

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941 Session A: Awakenings

During the EEG application, the participants can ask questions about the awakening protocol

943 (the same questions as used at home). For the remaining time during EEG application, the

944 participant will fill out the following questionnaires: the alcohol/coffee check (2 minutes), the

- 945 Mannheim Dream Questionnaire (MADRE, 10 minutes)⁹³, the Brief-COPE questionnaire (10
- 946 minutes)¹⁰⁰, the MDBF⁹⁷ (3 minutes), the need for closure scale $(15 \text{ minutes})^{101}$, and the
- 947 Freiburg Mindfulness Inventory (FMI, 5 minutes)¹⁰². Afterward, the participants will undergo

the learning blocks of the memory task. Between the learning blocks and the recall, there will

- be a 10 minutes break during which the participants will fill out the MDBF⁹⁷ again and the
- 950 SF-A/R⁹⁶ for the previous night. Recall happens in 2 blocks which take approximately 40
- 951 minutes.
- At 23:00, participants will go to bed. When the participant is lying in bed, we will do a
- resting-state EEG measurement (1.5 min eyes open, 1.5 min eyes closed, 1.5 min eyes open,
- 1.5 min eyes closed). The investigators will monitor the EEG while the participant is asleep

visually, aided by information provided by the dreamento toolbox¹⁰³. The participants will be 955 956 woken up to 8 times during the night following an awakening protocol (on project OSF) – 957 four times from NREM and four times from REM sleep (at least 15 minutes into each sleep stage). For NREM sleep, N2 will be used as the start of the sleep stage, however, the 958 959 awakening can be done in any NREM (N1, N2, or N3) sleep stage. The preceding 1 minute of 960 each awakening should not contain any wake or the opposite sleep stage (i.e., REM for a 961 NREM awakening and NREM for a REM awakening). The exact start and end of the awakenings will be logged using manually set markers in the EEG. After each awakening, the 962 963 participants will be prompted to report their dreams orally and rate them on several scales. 964 After this, participants can go back to sleep. The sleep opportunity ends at 7 am. They will 965 fill out a dream report, where they will report dreams not previously reported as well as dreams reported in the night. If they forget some of the dreams, we will give them a related 966 967 one-word prompt to each dream to trigger the memory. Afterward, they fill out a 968 questionnaire about their sleep (adapted SF-A/R, the question on dream recall removed, an 969 additional question regarding "Did you hear any words presented last night?" (Yes/No), and a 970 question about spontaneous, non-experimenter awakenings). After this, both recall rounds of 971 the memory task will be repeated exactly as during the night before. Then the participants 972 will complete a localizer task in which they rate 67 new images corresponding to the task 973 categories three times (first-round valence, second round arousal, third round prototypicality). 974 Then electrodes will be removed, and participants can shower. The study will be finished 975 around 8:30 am.

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978 Session B: TMR + Awakenings

979 During the EEG application, the participants will again read the protocol used for the 980 awakenings to ensure that the participant understands all the questions. The participant can 981 ask questions if they do not understand them. For the remaining time during EEG application, 982 the participant will fill out the following questionnaires: alcohol check (2 minutes), the Lucid Dreaming Skills Questionnaire (LUSK, 5 minutes)¹⁰⁴, the Vividness of Visual Imagery 983 984 Questionnaire (VVIQ, 10 minutes)¹⁰⁵, the Rosenberg self-esteem scale (5 minutes)¹⁰⁶ and the behavioral inhibition/activation scale (BIS/BAS, 10 minutes)¹⁰⁷. Afterward, the participants 985 986 will undergo the learning blocks of the memory task. The task will be the same as in session 987 A but using different image categories. At 23:00, participants will go to bed. When the 988 participant is lying in bed, we will do a resting-state EEG measurement (1.5 min eyes open,

989 1.5 min eyes closed, 1.5 min eyes open, 1.5 min eyes closed). The investigators will monitor
990 the EEG while the participant is asleep visually, aided by information provided by the
991 dreamento toolbox¹⁰³.

992 After at least 3 minutes of stable NREM (N2 or N3) and REM sleep, experimenters will play 993 audio cues for 5 to 15 minutes using two loudspeakers placed at 230 cm from the participants' 994 heads (position kept consistent across participants). Words associated with one specific image 995 category will be used for cueing in each sleep stage (randomly chosen for each participant). 996 Words from the category will be presented randomly every 8,000 to 8,200 ms. Cueing will 997 start at 30dB SPL and increase in 5 dB steps until the participant shows a K-complex 998 (NREM) or arousal (REM). Audio will then be played at the level (NREM) or one step below 999 the level (REM) for the remainder of the sleep cycle. Audio levels will be determined for 1000 each cycle as thresholds vary throughout the night. Audio cues will be stopped if participants 1001 show a sign of arousal or change into a different sleep stage. The participants will be awoken 1002 between 10 - 30s after the last TMR at least 15 minutes into each sleep stage. This time window was chosen as TMR effects are usually seen within 2-3 seconds^{108,109}, but to account 1003 1004 for the possibility that incorporation and experience in the dream might take longer. 1005 Additionally, the time window always for slight variability due to the practicality of stopping 1006 the TMR and awakening the participant. The time between last TMR cue and waking up will 1007 be logged using markers in the EEG data. The protocol for the awakenings is identical to 1008 session A. After this, participants can go back to sleep. In the morning, the sleep opportunity 1009 ends at 7 am. They will fill out a dream report, where they will report dreams not previously 1010 reported as well as dreams reported in the night. If they forget some of the dreams, we will 1011 give them a related one-word prompt to each dream to trigger the memory. Afterward, they 1012 will fill out a questionnaire about their sleep. After this, both recall rounds will be repeated 1013 exactly as during the evening before. Then the participants will complete another localizer 1014 task corresponding to the image categories presented in this session. Then electrodes will be 1015 removed, and participants can shower. The study is finished around 8:30 am.

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1018 Follow-up Memory Recall

Four days after each experimental session, there will be a follow-up on the memory recall
performance using the same recall blocks. This recall will be presented online using Pavlovia
(based on the psychopy experiment used in the laboratory). Participants will have to complete
the follow-up in a single session within a 12-h timeframe.

1024 Memory Task

To measure memory performance, we will use an adapted version of the word-picture 1025 association task we have used previously⁹. The task consists of 99 word-picture associations 1026 1027 of neutral words and positive and neutral pictures, which we have extended with negative 1028 pictures. The pictures are related to 6 categories (3 per experimental night): mammals, vehicles, 1029 food, children, water, and buildings. Each category has 11 positive, 11 negative, and 11 neutral 1030 pictures. At the beginning of the task, one image unrelated to the categories is presented 1031 (primacy effect). The pictures are taken from the NAPS (90), IAPS (15), NDPS (10), DIRTI 1032 (7), and Oasis (21) databases which contain large sets of images that have been rated on emotional valence and arousal^{55–59}. Still, the images had to be supplemented with 55 open 1033 1034 Creative Commons license images (from Unsplash, Flickr, Pixahive, Wikipedia, Stocksnap, 1035 pxhere) because not enough images were available to fit our criteria (see project OSF for a 1036 complete list).

1037 All potentially fitting images from the databases and the additional images were rated by 16 1038 pilot participants to ensure adequacy for the task. The final images were selected using the 1039 following criteria: appropriate valence rating (> 5.75 (on a 1 - 9 scale) for positive, 4.25 to 5.75 1040 for neutral, and < 4.25 for negative), the appearance of none of the other five categories as well 1041 as no adjacent categories (e.g., adult humans for children category, or other animals for mammal category, flagged by ≥ 3 participants) and image quality (rated higher than 6 on a 0 1042 1043 - 9 scale). If more images than needed fitted the criteria, the images were selected for the lowest standard deviation on the valence and arousal rating, the most similar rating to the original 1044 1045 database, and the highest discriminability (e.g., not two images of the same mammal).

1046 The words are taken from the auditory English Lexicon (AELP) $project^{60}$. The words are 1047 chosen to have two syllables as well as a similar length (636 – 805 ms), neutral valence and 1048 arousal (between 4 - 6), and be well known (> 88% recognition). Furthermore, words were 1049 selected not to contain any reference to the image categories. The association between word 1050 and picture was done randomly but will be kept consistent across participants.

1051 The memory task has six blocks: two rating blocks, two learning blocks (the second done 1052 twice), and two recall blocks. In the first block, the participants will hear all the neutral words 1053 and rate them for valence and arousal. In the second block, the participants will see all pictures 1054 and rate them for valence and arousal. During the first learning block, they will see the picture 1055 and hear the associated word. The second learning block will be completed twice, where the 1056 participants will the word hear and then indicate the expected valence 1057 (negative/neutral/positive) and arousal (negative/neutral/positive). Then they will see the picture presented to enable another learning possibility. After a 10 minutes break, there will be two recall blocks. First, the participants will hear the words and indicate the associated picture valence, arousal, and certainty. Then there will be a cued recall. The participants will hear the word and describe the associated picture with 3-5 keywords. The task is implemented using Psychopy and automatically sends markers to the EEG to indicate the exact timing of item presentation and response.

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1065 Sleep Recording

1066 EEG will be recorded with 64 channels cap (actiCAP original) and the BrainAMP by 1067 Brainproducts. Each electrode location will be prepared using an abrasive paste (Nuprep) and 1068 electrode paste (Abralyt). Impedances will be checked to be below 20 k Ω . Additionally, two 1069 electrodes will be used to measure EOG, ECG, and three electrodes for chin EMG (using 1070 BrainAMP ExG, impedance level below 10 k Ω) and an 8 channel EGG (subset of participants, 1071 opt-in, impedance level below 25 k Ω). See the supplemental files for electrode placement 1072 information. Data will be recorded with a 500 Hz sampling frequency and referenced to the 1073 vertex.

1074

1075 Dream Reports (orally and written)

Participants will be asked, "What was going through your mind in the minute prior to 1076 1077 awakening?" They are instructed beforehand to include any dreams, thoughts, experiences, 1078 imagery, sensations, or emotions. If they do not report anything, they will be asked to take a 1079 moment to remember. If after 1 minute they cannot remember a dream, they are asked, «Do 1080 you feel as if you had a more detailed dream or specific thoughts, imagery, sensations, or 1081 emotions that you have now forgotten?» and if they respond, "no" they will be asked, 1082 "Before awakening, did you have a feeling or awareness of being asleep?". If they report a 1083 dream/thought/experience/imagery/sensation/emotion, this is recorded and written down. 1084 Once they stop reporting, they are asked if they remember anything else (repeated up to 3 1085 times if more content is produced). They are asked to estimate the length of the dream. If the 1086 dream is longer than one minute, they are asked to focus first on the last minute. Then the 1087 dream report will be rated on several scales (lucidity, voluntary control over dream content, 1088 vividness, arousal, valence, accuracy, and completeness) from 1 to 5. Furthermore, 1089 participants will indicate if they had any visual, auditory, tactile, olfactory, gustatory, and 1090 vestibular perceptions (yes/no/unsure). Then they will be asked to describe the previous 1091 dream elements (if the dream was longer than 1 minute) or any other dream between the last

awakening and now. If they remember previous dreams, they will be asked to rate them onthe same scales.

1095 Sampling plan

Participants

Ninety-two healthy male and female volunteers aged 18-35 will be recruited from the general area around Nijmegen, Gelderland, Netherlands. The inclusion criteria to participate in the study are physically and mentally healthy, a dream recall frequency of more than once a week, high English language proficiency, and the ability to sleep in the sleep laboratory. Exclusion criteria are history of or current sleep disorder, current physical or mental illness, intake of medication that influences sleep/wake cycle and/or memory consolidation, frequent coffee consumption (> 4 cups/day), skin disease at intended electrode sites, chronotype incompatible with the study time window, inability to sleep during adaptation night, contraindications for MRI (including pregnancy/breastfeeding), irregular sleep patterns leading up to experimental sessions. Supplementary Table 1 reports the exact criteria for each inclusion/exclusion as well as the measurement used. Data will be excluded from single experimental nights if less than three hours of sleep are obtained. The specific awakening is excluded if less than 85% of auditory cues are presented in the correct sleep stage or less than 5 minutes of auditory cueing can occur. Any participant replacements, dropouts, and exclusions will be reported. Project OSF: DOI 10.17605/OSF.IO/YKUQ5

Supplementary Table 1. Exclusion criteria, measure, and contingency.

Stage of AssessmentTesting for		Measure	Criteria	Contingency	
Intake Session	High English language proficiency	Boston Naming Task	< 10 correct	Recruit new participant	
Intake Session Current sleep problems		PSQI	Score > 7	Recruit new participant	
Intake Session	Depression	BDI	Score ≥ 20	Recruit new participant	
Intake Session Anxiety		BAI	Score > 15	Recruit new participant	
Intake session	Intake session Chronotype		Sleep Time after 1 am («I actually get ready to fall asleep at») on the weekdays	Recruit new participant	
Intake session Dream Recall Frequency		MADRE	Dream Recall Frequency < several times a week	Recruit new participant	
Intake Session Mental and Physical Health		General Health Questionnaire	Yes to Sleep Medication Yes to Medication for Mental Health Yes to Medication that is known to influence memory consolidation	Recruit new participant	

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			Yes to Sleep disorder (current or previous)	
			Yes to a current physical or mental health issue	
			Yes to Skin disease (at electrode location)	
Intake session	Coffee and drug withdrawal	General Health Questionnaire	Yes harder drugs/marijuana daily	Recruit new participant
			More than 4 cups of coffee per day	
Intake session	MRI Incompatibility	MRI questionnaire	Yes to any of the MRI incompatibility questions	Recruit new participant
Adaptation session	Irregular sleep pattern	Actigraphy	Sleep skipped in the six days before	Recruit new participant
Adaptation session	Ability to sleep in the sleep lab	Participant report	Inability to fall asleep with EEG/wanting EEG removed	Recruit new participant
Adaptation session	Inability to sleep in sleep lab or with EEG	EEG	Sleep Efficiency < 70%	Recruit new participant
Any sleep lab session	Influence on sleep and memory	Participant report	Alcohol consumption or coffee consumption after noon (or more than two coffees in the morning)	Reschedule
Any sleep lab session	Inability to fall asleep	Actigraphy	Get up time after 8 am	Reschedule

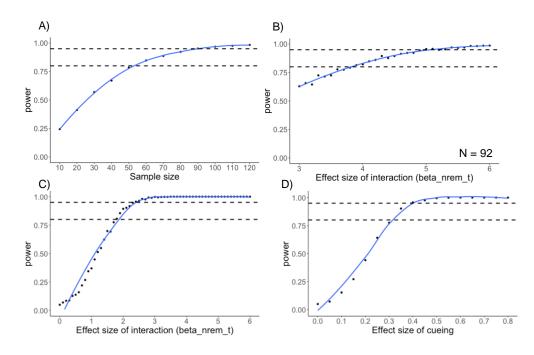
Experimental nights			<= 3 hours of sleep	Exclusion experimental night (estimation within the model)
Experimental nights	NREM vs. REM dream	Awakenings	Awakening in wrong sleep stage	Exclusion awakening
Experimental Night: TMR	Correct stimulation	Auditory cues	< 85% incorrect sleep stage (NREM/REM) < 5 minutes	Exclusion awakening
Experimental	Lucidity	Awakening protocol	Lucidity rating = 5	Exclusion awakening
Experimental nights	Missing data	EEG, Memory Task	Data missing due to technical problems	Exclusion experimental night and estimation within the model
Experimental data	Technical problems	All data	Outlier/missing data due to technical problems	Exclusion and estimation within the model

Experiment day	Questionnaires SI	leep	Dreams	Memory Task	ECG/EGG	Stool sample
Intake Session						
d1-7			D			
d1-6	Т	+ D	D			
d1-5	Т	+ D	D			
d1-4	Т	+ D	D			
d1-3	Т	+ D	D			
d1-2	Т	+ D	D			
Adaptation Night	2	EG	D			
Experimental Session Night	E	EG	A + D	Learning		
d1+1	Т	+ D	D	Recall		
d1+2	Т	+ D	D		-	
d1+3	Т	+ D	D			
d1+4	Т	+ D	D	Recall		
d1+5	Т	+ D	D			
d1+6	Т	+ D	D			
d1+7	Т	+ D	D			
d2-7	Т	+ D	D			
d2-6	Т	+ D	D			
d2-5	Т	+ D	D			
d2-4	Т	+ D	D			
d2-3	Т	+ D	D			
d2-2	Т	+ D	D			
d2-1	Τ	+ D	D			
Experimental Session Night				Learning		
d2+1	Т	+ D	D	Recall		
d2+2			D			
d2+3			D			
d2+4	Т	+ D	D	Recall		
d2+5	Т	+ D	D		-	
d2+6	Т	+ D	D			
d2+7	Т	+ D	D			

Supplementary Figure 1. Example experimental protocol of the study. The study takes part across a whole month. Each participant will visit the institute four times, once for the intake session and three times for the sleep laboratory (1 adaptation night and two experimental sessions). Black indicates data that is collected for each day. T = Tracker, D = Diary, Q = Questionnaire, A = Awakenings, EEG = Electroencephalography (including electroencephalography ended adaptation protocol of the sleep laboratory for the sleep laboratory (1 adaptation night and two experimental sessions). Black indicates data that is collected for each day. T = Tracker, D = Diary, Q = Questionnaire, A = Awakenings, EEG = Electroencephalography (including electroencephalography).

electrooculography and electromyography), ECG = electrocardiogram, EGG =

1141 electrogastrography.





Supplementary Figure 2. Effect size simulations for hypotheses 1 and 2. A) For 1146

1147 hypothesis 1, we used effect size estimates from our previous study to simulate 1000 datasets

1148 with 10 - 120 participants each. 95% power is reached with 90 participants. **B**) Sensitivity analysis with 92 participants and varying the effect size of the interaction (NREM 1149

1150 incorporation*timepoint). With 92 participants, we reach 95% power with an effect size of b

1151 \geq 5 and 80% power with effect size b \geq 3.9. C) Sensitivity analysis with 92 participants and

verifying effect size of the interaction (NREM incorporation*timepoint) for the model 1152

1153 controlling incorporations for baseline. We reached 95% power with an effect size of $b \ge 2.4$

and 80% power with effect size $b \ge 1.8$. **D**) For hypothesis 2, we used effect sizes from data 1154

on task incorporation into dreams to estimate potential effect sizes for TMR. In the sensitivity 1155

1156 analysis with 92 participants and varying the effect size of cueing from 0.0 - 0.8 (0.05 steps),

we estimate 95% power with an effect size of $b \ge 0.4$ and 80% power with effect size $b \ge 0.4$ 1157 0.3.

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