# Independent Comparative Evaluation of the Pupil Neon - A New Mobile Eye-tracker

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#### Abstract

testtestestDue to the rapid adoption of (mobile) eye-tracking devices in both academic 11 and consumer research, it becomes more important that the increasing number of datasets 12 is based on reliable recordings. This study provides an independent evaluation of the Pupil 13 Neon (Pupil Labs GmbH), one of the newest and most affordable mobile eye-trackers, by 14 comparing its performance on a variety of tasks to the EyeLink 1000 Plus (SR Research 15 Ltd.). Using Ehinger et al. (2019)'s test battery, a set of 10 tasks evaluated the accuracy 16 and its decay over time of some of the most common eve-tracking-related parameters: 17 fixations, saccades, smooth pursuit, pupil dilation, microsaccades, blinks, and the influence 18 of head motion on accuracy. Gaze position, eye movements and pupil diameter associated 19 with each task were recorded simultaneously by the two eve-trackers and compared 20 concurrently. The results provide some ideas on what singularities should be expected by 21 the newer Pupil Neon for the recording of specific eye movements or the performance in 22 various kinds of tasks. 23

*Keywords:* eye tracking, mobile eye-tracker, Pupil Neon, Eyelink 1000 Plus,
 performance evaluation

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#### Introduction

The saying "One look is worth a thousand words" highlights the significant role of 29 eye movements in understanding how individuals perceive and interpret their world. This 30 concept has been extensively applied in fields such as psychology and human-computer 31 interaction (Duchowski, 2007; Majaranta & Bulling, 2014). Over the past decades, 32 eye-trackers, once confined to a small group of researchers, have become widely available to 33 a broader audience (Duchowski, 2018; Gunawardena et al., 2022), including applied 34 researchers (Ahlström et al., 2021) and practitioners in marketing and gaming (Mancini 35 et al., 2022). The increase in reliability, coupled with less invasive devices and more 36 affordable prices, has democratized the use of eve-trackers to study human behavior. 37 However, the expanding range of eye-tracking applications makes it crucial to understand 38 the performance of current eye-trackers and how their capabilities and limitations make 39 them suitable for different types of experimental protocols (Titz et al., 2018). This study 40 aims to evaluate the performance of a recently released mobile eve-tracker, the Pupil Neon 41 from Pupil Labs, by examining some of the most common eye-tracking-related parameters: 42 fixations, saccades, smooth pursuit, pupil dilation, microsaccades, blinks, and the influence 43 of head motion on accuracy (Duchowski, 2018). By conducting this independent 44 comparative evaluation, we seek to provide researchers with information on the strengths 45 and weaknesses of the Pupil Neon, facilitating its effective use in diverse research contexts. 46

47 Stationary and mobile eye-trackers

Two types of eye-tracking devices are usually distinguished: stationary (or desk/screen-mounted) eye-trackers, and mobile (or head-mounted) eye-trackers (Pentus et al., 2020).

Stationary eye-trackers are ideal for two-dimensional stimuli presented via
 screen-based tasks, making them traditionally popular in basic research where a controlled

experimental setup is feasible (Holmqvist et al., 2011). These eye-trackers often have high 53 accuracy and precision, potentially reaching up to 0.3 degrees under optimal conditions 54 (Ehinger et al., 2019). However, achieving such performance comes at the cost of 55 restricting participants in their head and body movements, lowering ecological validity 56 (Holmqvist et al., 2011). Such setups often require a fixed sitting position or even head 57 fixation via chinrest, limiting natural behaviour. Additionally, the highly controlled 58 environment of lab experiments may not accurately represent real-life conditions, 59 prompting the eye-tracking scientific community to seek tools that enable monitoring in 60 real-world settings (Gunawardena et al., 2022; Takahashi et al., 2018). 61

Conversely, mobile head-mounted eye-trackers allow much more freedom in head 62 and body movements by tracking directly from sensors located on the participant's head 63 (e.g. glasses), making them a prior candidate for in-the-wild studies and applied research 64 where it is necessary to move in an environment (Bulling & Gellersen, 2010). Notably, this 65 refers to the contemporary mobile eye-trackers and not the first scleral coil eye-tracking 66 devices that were directly mounted to the participant's eye (Huey, 1900). However, this 67 freedom introduces challenges in tracking gaze accurately, resulting in noisier data and 68 lower precision, typically around 0.9 to 1.8 degrees of visual angle (Baumann & Dierkes, 69 2023; MacInnes et al., 2018). Mobile eye-trackers also face technical issues such as device 70 heating, which can affect user experience, limited battery life leading to restricted data 71 collection duration, and the need for a stable wireless connection (Gunawardena et al., 72 2022). Despite these challenges, technological advancements are continuously improving 73 the performance of mobile eye-trackers, necessitating regular updates on their capabilities. 74 In the present study, we aim to assess the performance of one of the most recent mobile 75 eye-tracking devices on the market. 76

#### 77 Evaluating eye-tracker performances

Evaluating the performances of data recording devices is essential for any research
field, as it allows the assessment of data quality and reliability. Understanding the

capabilities and limitations of eve-trackers is essential in order to optimizing their 80 utilization. While several studies have examined data quality from field eye-tracking 81 experiments in various experimental contexts (Funke et al., 2016; Hooge et al., 2023; 82 MacInnes et al., 2018; Niehorster et al., 2020) or using artificial eves (Wang et al., 2017), 83 the complexity and diversity of human eye movements should also be considered when 84 measuring an eye-tracker's performances (Holmqvist et al., 2012). Estimating an 85 eve-tracker's performance is challenging, as comparisons to a theoretical true value are not 86 possible (Ehinger et al., 2019). When asking participants to fixate on a visual stimulus for 87 calibration, the actual eye fixation point is not steady due to miniature, unconscious eye 88 movements like drift and microsaccades, which can corrupt the recorded fixation baseline 89 (Rolfs, 2009). To address this lack of a truth reference, earlier studies used two eve-trackers 90 simultaneously to evaluate and compare their performances across a variety of tasks 91 (Drewes et al., 2011; Ehinger et al., 2019; Titz et al., 2018): a reference and a target 92 eye-tracker to be evaluated. Building on the study conducted by Ehinger et al. (2019), the 93 current study uses the Eyelink 1000 (SR Research Ltd., 2022) as a reference eye-tracker 94 due to its high precision and accuracy. It is considered one of the best video-based 95 eye-trackers available (Holmqvist, 2017; Kaduk et al., 2023). Comparing a mobile 96 eye-tracker to a stationary one in terms of gaze accuracy and precision may appear to be of 97 limited value, given that these two types of eve-trackers often serve different purposes. The 98 goal of such comparisons is not to favour one type of device over another, but rather to 99 highlight the distinctive characteristics exhibited by each device when recording specific 100 types of eye movements. Various types of eye movements, including changes in pupil size 101 provide diverse information about visual and cognitive processing (Martinez-Conde et al., 102 2004: Ravner, 2009; Ravner, 1998). For example, fixations are essential for detailed visual 103 processing and information acquisition, allowing the eyes to remain steady and to absorb 104 information from a specific area of the visual field (Henderson, 2003). Saccades are rapid 105 eve movements that reposition the fovea to a new location of interest and are critical for 106

visual attention and scene perception (Rayner, 1998). Microsaccades however are tiny, 107 involuntary eye movements that help in the fine-tuning of visual fixation and are linked to 108 covert attention (Martinez-Conde et al., 2004; Martinez-Conde et al., 2013). Relative to 109 saccades, smooth pursuits are characterized by slow eve movements to maintain a moving 110 object on the fovea and are associated with tracking moving stimuli (Krauzlis, 2004). Eye 111 blinks can indicate cognitive load and fatigue (Schleicher et al., 2008) and changes in pupil 112 size are indicative of arousal and cognitive effort (Beatty & Lucero-Wagoner, 2000). Each 113 type of eye-based measure has specific tracking requirements: the accuracy of fixations and 114 saccades is impaired by head movements, particularly in free-viewing or or extreme head 115 movement conditions (Einhäuser et al., 2007). Pupillometry also demands minimal head 116 movement, a fixed stimulus position and steady brightness conditions (Mathôt & 117 Vilotijević, 2023). The analysis of smooth pursuits however requires smooth stimuli 118 velocity and a high temporal resolution to distinguish from saccades and microsaccades 119 (Holmqvist et al., 2011); blink frequency is influenced by fatigue and experiment duration 120 (Schleicher et al., 2008). To adequately evaluate an eye-tracker's performance, it is essential 121 to consider more than just the accuracy and precision typically reported by manufacturers. 122 To date, publicly available data are limited, and independent evaluations are even scarcer. 123 To address this, Ehinger et al. (2019) developed a comprehensive evaluation paradigm, 124 assessing fixation and saccade accuracy in grid and free-viewing tasks, accuracy decay over 125 time, smooth pursuit, pupil dilation, microsaccades, blinks, and the influence of head 126 motion. At the time of their evaluation, mobile eye-trackers such as the Pupil Core (Pupil 127 Labs GmbH) predominantly recorded the eves with infrared video-based methods and 128 detected the pupil using common computer vision algorithms to track gaze. Instead of 129 'simple' computer vision approaches based on infrared eve-tracking, the newer Pupil Neon 130 (Pupil Labs GmbH) uses a proprietary deep learning approach. It has the advantage that 131 it is supposedly more flexible in terms of environmental context and does not require a 132 calibration procedure. However, it has the disadvantage inherent to all deep learning 133

approaches: we do not really know how it works and thus do not know whether it captures
all types of eye movements equally well. Thus, this independent evaluation will benefit
researchers intending to use the Pupil Neon by demonstrating the advantages and
limitations of such eye-tracking technology before employing it in their studies.

#### 138 Our study

Due to the rapidly increasing use of (mobile) eve-tracking devices in both academic 139 and consumer research, it becomes more important that the increasing number of datasets 140 is based on reliable recordings. Given the use case for mobile eye-tracking devices in 141 certain research and consumer settings, a major factor influencing widespread adoption is a 142 device's ease of use (Davis et al., 1989). This is our reason for choosing to evaluate the 143 Pupil Neon over other mobile eye-tracking devices. To our knowledge, it is the only device 144 that requires no calibration, significantly simplifying setup and reducing the time required 145 for participants to begin tasks. Moreover, the Pupil Neon is one of the more affordable 146 options available, with costs starting at  $\notin 5.950$  as of July 2024, making it accessible to a 147 broader range of researchers and institutions. Recent manufacturer evaluations indicate 148 that despite not having a calibration procedure, it performs comparably well with an 149 accuracy of around 1.3° (Baumann & Dierkes, 2023). However, it employs a proprietary 150 deep-learning algorithm for calibration-free classification of eye movements, which 151 complicates performance evaluation based solely on available data and code. This study 152 aims to provide an independent evaluation of the Pupil Neon's performance across various 153 eye-based tasks. Following Ehinger et al. (2019) procedure, participants will perform a set 154 of tasks while being tracked simultaneously by both the Pupil Neon and the EveLink 1000. 155 These tasks include fixations on a large grid to assess spatial accuracy, smooth pursuit 156 tasks, free viewing tasks to evaluate eye movements and gaze trajectories, microsaccades 157 tasks, blink tasks, pupil dilation tasks, fixations on a small grid to evaluate the decay of 158 accuracy over time, head yaw movements, head roll movements, and fixations on a small 159 grid after head movements to assess the decay of accuracy. The results will provide insights 160

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into the specific characteristics and performance of the Pupil Neon in recording various eye
movements and performing different tasks. These findings will help identify tasks where
the Pupil Neon excels and highlight tasks that might be less advisable to conduct with this
device due to differing eye movement requirements.

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#### Methods

The methodology employed in this study is largely consistent with that described by Ehinger et al. (2019).

#### 168 Participants

We recruited (tbd) participants from Ulm University, with an average age of (tbd)169 years (range [tbd] - [tbd] years); [tbd] were female, [tbd] were left-handed, and [tbd] had a 170 left-dominant eve. The inclusion criteria were: no use of glasses or hard contact lenses, no 171 drug use, no history of photosensitive migraines or epilepsy, and at least 5 hours of sleep 172 the night before the experiment. Written consent was obtained from all participants, and 173 the study was declared exempt from ethical approval by the ethics committee of Ulm 174 University (letter from 06.06.2024). Participants received compensation of either  $\notin 12$  or 175 one course-credit per hour. *[tbd]* participants were excluded from the analysis since they 176 exceeded the predetermined calibration accuracy limits of the EyeLink 1000. 177

#### <sup>178</sup> Experimental setup and recording devices

The experimental setup and recording devices are largely similar to those employed 179 by Ehinger et al. (2019), except for the use of the Pupil Neon glasses instead of the Pupil 180 Core glasses. The description of the experimental setup and recording devices is adapted 181 from Ehinger et al. (2019). The experiment took place in a light and soundproof laboratory 182 at Ulm University. The lights were left on during the experimental procedure to ensure 183 constant lighting conditions throughout the experiment. The original experimental code 184 was written by Ehinger et al. (2019) in MATLAB (2016). In the present study, the code 185 was adapted and programmed in MATLAB (2021) on a computer with Windows 10 OS 186 using the Psychophysics Toolbox 3 (Brainard & Vision, 1997; Kleiner et al., 2007; Pelli, 187

1997), EveLink Toolbox (Cornelissen et al., 2002), and custom scripts based on the ZMQ 188 protocol for communication with the Pupil Neon. Stimuli were presented on an ASUS 189 ROG SWIFT PG279QM screen (27 inch,  $2560 \times 1440$  pix) running at 100 Hz. Stimuli 190 were presented on a constant gray background, except for the pupil dilation task, in which 191 different backgrounds were used to stimulate pupil dilation and constriction. The 192 participants were seated at a distance of 60 cm from the screen, at which the display 193 subtends [tbd]<sup>o</sup> x [tbd]<sup>o</sup> of visual angle. Two Logitech Multimedia Speakers Z200 emitted a 194 300 Hz sound for the auditory stimuli. 195

Participants' eve movements were simultaneously recorded using one stationary and 196 one mobile eve-tracking device. The desktop-mounted EyeLink 1000 Plus (SR Research 197 Ltd.) recorded monocular movements of the dominant eye at 1000 Hz in head-free mode 198 (Ehinger et al., 2019, cf.). Concurrently, the Pupil Labs Neon glasses (Pupil Labs GmbH.) 199 recorded binocular eye movements. The Pupil Labs Neon glasses include a scene camera 200  $(1600 \times 1200 \text{ pixels at } 30 \text{ Hz}, 132^{\circ} \text{ horizontal and } 81^{\circ} \text{ vertical field of view})$  and two 201 infrared eye cameras ( $192 \times 192$  pixels at 200 Hz). These glasses feature real-time neural 202 network technology, providing binocular eye tracking without the need for calibration, and 203 employ deep learning for slippage compensation. Data were captured using the Neon 204 Companion device and pre-processed post-hoc via Pupil Cloud (see Data Analysis section). 205 The glasses also include an inertial measurement unit (IMU) comprising an accelerometer, 206 magnetometer, and gyroscope, along with dual microphones. The experiment used two 207 computers in addition to the Companion device: one for stimuli presentation and one for 208 recording the EyeLink 1000. Experimental messages ("triggers") were sent to the EyeLink 209 1000 recording computer via the EyeLink Toolbox (Cornelissen et al., 2002), and to the 210 Pupil Labs glasses using zeroMQ packages ("ZeroMQ," 2024). To synchronize the 211 recordings, concurrent trigger signals were sent via Ethernet during experimental events. 212

#### 213 Experimental Procedure

The experimental procedure is similar to the one described by Ehinger et al. (2019), from which this subsection is adapted.

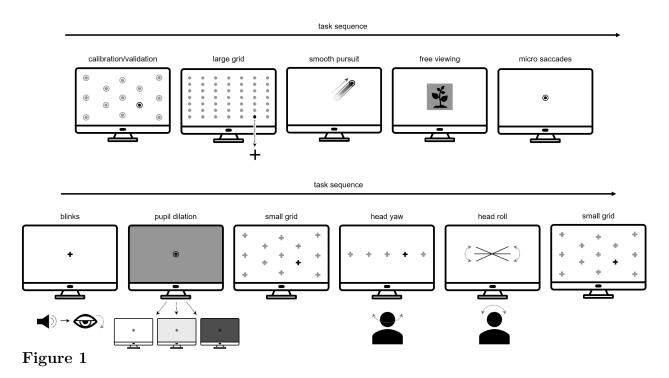
Each session began with a brief oral instruction on the experimental procedure and tasks. Then, participants' visual acuity was checked using a calibrated online LogMar chart test with a single test line of five letters. A correct identification of 6/6 was required to proceed with the experiment. Afterwards, Ocular dominance was determined using the "hole-in-card" test with participants' hands and a centered gaze.

The experiment comprised six blocks, each consisting of 10 tasks (see Figure 1), 221 presented in a fixed sequence. Eye-tracker calibration was performed at the beginning of 222 each block. Afterwards, participants completed a grid task (large grid) designed to assess 223 the spatial accuracy of the eye-trackers. Afterwards, participants performed several tasks 224 without head movements comprising smooth pursuit, free viewing, microsaccades, blinks 225 and pupil dilation. Afterwards, the small grid task was performed. Then, participants 226 performed two tasks requiring head movements, namely head yaw and head roll. Half of 227 the participants started with the head yaw task, the other half with the head roll. Task 228 order was balanced between participants. At the end of each block, the small grid task was 229 performed again. Hence, tasks requiring intense fixation (microsaccade and pupil dilation) 230 were interspersed with more relaxing tasks (blinks and free viewing accuracy) to provide 231 participants with periodic breaks. Participants read written instructions prior to each task 232 and saw a green fixation target at the center of the monitor. Further, the experimenter 233 stressed the importance of focusing on the fixation targets before starting the task. 234 Participants initiated each task at their own pace by pressing the space bar. The 235 experimental session lasted approximately *[tbd]* minutes. 236

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#### Tasks

We used the tasks and code implementation developed by Ehinger et al. (2019), from which the task descriptions are adapted.



This figure illustrates the task sequence within each experimental block. All possible stimuli positions are marked in gray, gray dotted arrows indicate stimulus movement. Gray markings were not shown throughout the trial. For the large grid task, fixation crosses served as stimulus material. Adapted from "A new comprehensive eye-tracking test battery concurrently evaluating the Pupil Labs glasses and the EyeLink 1000" by B. V. Ehinger, K. Groß, I. Ibs, & P. König, 2019, PeerJ, 7:e7086 (https://doi.org/10.7717/peerj.7086).

#### 240 Fixation targets

Throughout the experiment, we used three different fixation targets. For the 241 EyeLink calibration we used the manufacturers calibration targets. For the large and small 242 grid task, blink task, head vaw task, and head roll task a fixation cross was utilized, as it 243 has been shown to reduce miniature eye movements (Thaler et al., 2013). For the smooth 244 pursuit task, microsaccade task and pupil dilation task, we used a bullseye target (outer 245 circle: black, diameter 0.5°; inner circle: white, diameter 0.25°). For the smooth pursuit 246 task, the bullseye was used due to its aesthetically pleasing diagonal movement. For the 247 microsaccade task, the bullseye was used since minimization of microsaccades was not 248

desired. For the pupil dilation task, the bullseye was used due to its visibility regardless of
background illumination.

#### <sup>251</sup> Eye-tracker calibration

The EyeLink 1000 was calibrated using a 13-point randomized calibration 252 procedure. These 13 calibration points were selected from the large grid used in the 253 accuracy task (see section "Task 1/Task 7/Task 10: Accuracy Task with the Large and the 254 Small Grid"). Calibration points were manually advanced by the experimenter. Following 255 calibration, a 13-point verification process was conducted. The procedure was identical to 256 the initial calibration, yet calibration points were presented within a new randomized 257 sequence. Accuracies were calculated online, and recalibration was performed if necessary 258 until the mean validation accuracies met the manufacturers' recommendations. The 250 EveLink 1000 required a mean validation accuracy limit of  $0.5^{\circ}$ , with individual points not 260 exceeding 1° (SR Research Ltd., 2010). If more than 10 calibration attempts failed, despite 261 adjustments to the EyeLink 1000, the recording session was terminated and the participant 262 was excluded from the experiment. The Pupil Labs Neon glasses are calibration-free 263 devices and were not calibrated. However, a personal gaze offset correction was performed 264 for each participant to maximize Neon's accuracy. This offset correction was achieved 265 directly on the companion device by fixating a single point at the center of the screen and 266 applying the correction accordingly to the procedure described on Pupil Labs website. 267

#### <sup>268</sup> Task 1/Task 7/Task 10: Accuracy on Large and Small Grids

We used fixation grids to assess the difference between the displayed target location and the estimated gaze point, estimating absolute spatial accuracy and calibration accuracy decay over time. Task 10 is additionally monitoring the influence of head movements on accuracy decay. Two variants were employed: a large grid  $(7 \times 7)$  and a small grid (a subset of 13 points). For the grid tasks, fixation cross targets were used. For the large grid, participants fixated on targets at 49 crossing points, equally spaced from -7.7 to 7.7° vertically and -18.2 to 18.2° horizontally. Each target appeared once per task repetition, and participants pressed the space bar after saccading to and fixating on each
target. The center point served as both the start and end points. We used a constrained
randomization procedure for the large grid to ensure uniform saccade amplitude and angle
distributions, maximizing the entropy of the saccade amplitude and angle histograms. The
small grid task was similar but involved only a subset of 13 target points that were also
used in the calibration procedure. The stimulus sequence was naively randomized within
each block for each participant.

#### **Task 2: Smooth pursuits**

Bullseye targets were used for the smooth pursuit task. We used Ehinger et al. 284 (2019)'s adaptation of the step-ramp smooth pursuit paradigm from Liston and Stone 285 (2014) to investigate smooth pursuits. Participants fixated on a central bullseye target and 286 pressed the space bar to start a trial, with the probe starting after a random delay sampled 287 from an exponential function (mean 500 ms). The stimuli moved along linear trajectories 288 at one of five speeds (16, 18, 20, 22,  $24^{\circ}/s$ ) and trials ended when the target was 10° from 280 the center. We used 24 different orientations spanning 360°, starting each stimulus such 290 that it took 0.2 seconds to reach the center, minimizing catch-up saccades. Each smooth 291 pursuit task consisted of 20 trials, with a total of 120 trials per experiment. Each 292 participant encountered all possible combinations of speed and angle once, randomized 293 throughout the experiment. Participants were instructed to follow the target with their 294 eyes as long as possible. 295

#### <sup>296</sup> Task 3: Free viewing

For the free viewing task, participants were presented with a total of 18 different natural images, primarily patterns from Backhaus (2016). Each of the six blocks comprised three randomly chosen images. The image order was randomized across the experiment, and each image was shown once only. In the beginning of each trial, a fixation cross target was presented at the screen center for an average of 0.9 seconds with a random jitter of 0.2 seconds. Afterwards, an image (900  $\times$  720 pixels) was displayed for 6 seconds. Participants

<sup>303</sup> were instructed to explore the images freely.

#### 304 Task 4: Microsaccades

To elicit microsaccades, a central bullseye fixation target was displayed for 20 seconds, with participants instructed to maintain fixation until the target disappeared.

#### 307 Task 5: Blinks

For the blink task, a fixation cross target was used. Participants fixated on a central target and were instructed to blink each time they heard a 300 Hz sound for 100 ms. In each block the sound chimed seven times with 1.5-second pauses between sounds. Each sound onset was jittered by  $\pm 0.2$  seconds to reduce predictability.

#### 312 Task 6: Pupil Dilation

For the pupil dilation task, bullseye targets were used. To stimulate pupil size 313 changes, we varied the monitor's light intensity while participants fixated on a bullseye 314 target presented in the screen center. Each block consisted of four trials with a different 315 luminance level (25%, 50%, 75%, and 100%). The order of the bright stimuli was 316 randomized within each block. At the beginning of each trial, a black screen was displayed 317 for 7 seconds (jittered by  $\pm 0.25$  seconds) to allow the pupil to reach its largest size. 318 Afterwards, one of the four target luminances was displayed for 3 seconds (jittered by 319  $\pm 0.25$  seconds). 320

#### <sup>321</sup> Task 8/9: Head Movements

For the head movement tasks, fixation cross targets were used. For the roll movement task participants tilted their heads to align their eyes with a rotated line displayed at seven different angles (-15°, -10°, -5°, 0° (horizontal), 5°, 10°, or 15° of visual angle). They pressed the space bar once their eyes were in line with the target to proceed to the next orientation.

For the yaw movement task, participants completed 15 head rotations to fixate on targets positioned horizontally at five locations  $(-17.6^{\circ}, -8.8^{\circ}, 0^{\circ}, 8.8^{\circ}, \text{ or } 17.6^{\circ} \text{ of})$  eccentricity). They rotated their heads to align their noses with the target, fixated on it, and pressed the space bar to confirm. The target positions were randomized within each block.

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#### Data analysis

Our data analysis follows the modular pipeline outlined by Ehinger et al. (2019), 333 from which the following subsections are adapted. Data analysis was performed using 334 Python 3 (Van Rossum & Drake, 2009), pyEDFread (Wilming et al., 2024), NumPy 335 (Harris et al., 2020), pandas (McKinney, 2010), and SciPy (Virtanen et al., 2020). 336 Visualization was done using plotnine (plotnine development team, 2024) and Matplotlib 337 (Hunter, 2007). Experimental code, data and data analysis code are available under [tbd]. 338 Citations, Data Transparency, Analytic Methods (Code), Research Materials, Design and 330 Analysis adhere to the Transparency and Openness Promotion (TOP) Guidelines (Nosek 340 et al., 2015) endorsed by the American Psychological Association. The present study did 341 not test specific hypotheses; rather, we focussed on an exploratory data analysis approach 342 to compare various gaze parameters between both eye-tracking devices. Data analysis for 343 the respective gaze parameters are described in detail below. 344

#### 345 Preprocessing

**Data Export and Transformation:** The raw EveLink 1000 gaze data were 346 exported using the EyeLink Data Viewer software and transformed into dataframes, which 347 include calibrated gaze data mapped to the monitor coordinates. The Pupil Neon 348 eye-tracking data were automatically sent to the Pupil Labs cloud after each recording 349 session. Notably, there is no explicit calibration procedure for the Pupil Neon. In the 350 cloud, each recording is associated with the video from the scene camera and saved in a 351 workspace. After attributing the recording to a project, the data can be normalized from 352 head coordinates to world coordinates using the "Marker Mapper Enrichment" (see 353 Coordinate System Conversion section). The gaze data in normalized coordinates 354 associated with the recording time range of interest was then exported from the cloud for 355

<sup>356</sup> local eye movement analysis.

**Coordinate System Conversion:** Since the Pupil Neon is a mobile eye-tracker 357 (head coordinate frame) and the EyeLink 1000 is a desktop eye-tracker (world coordinate 358 frame), the initial step involved converting both datasets to the same coordinate system. 359 Four QR markers were placed at the corners of the monitor to detect the display. These 360 markers were detected by the Pupil Neon scene camera and used to create a new world 361 coordinate frame. This conversion was performed directly from the Pupil Labs Cloud using 362 the "Marker Mapper Enrichment" feature. The gaze data in the world coordinate frame 363 were then exported as a dataframe, with the bottom left corner of the screen as the frame 364 origin. 365

Gaze data synchronization: Trigger messages were sent during the experiment to mark task events. To ensure synchronized gaze information from both eye-trackers, a trigger with the computer's timestamp was sent at the beginning of the recording phase before the first calibration to both devices. Gaze data from both eye-trackers were synchronized by matching the recording start timestamps. If time drifts were detected between recordings, synchronization was adjusted by estimating the slope difference for each event trigger.

Data Cleaning: Samples marked as corrupted or where no pupil was detected were excluded from further analysis, as the ones where the gaze point was outside the monitor area since the experiment was performed on the screen. During this data cleaning phase, [tbd] % of the data was removed for Eyelink 1000 ([tbd] samples), and [tbd] % for the Pupil Neon ([tbd] samples).

#### 378 Eye Movement Classification

Eye movements were defined and classified across both datasets using an updated version of Ehinger et al. (2019) algorithmic pipeline, which applies identical algorithms to both eye-trackers wherever possible. This approach ensured consistency in the comparison of devices. Finally, the gaze position, eye movements, and pupil diameter associated with each task were compared concurrently between the two eye-trackers to evaluate their
performance and consistency.

Blink Classification: Blink classification differed between the two eye-trackers. 385 The EyeLink 1000 reports blinks when the pupil is missing for several samples. The 386 thresholds for minimum blink duration classification can be accessed and modified. In our 387 study, binks were defined by missing data for at least 100ms. In contrast, the Pupil Neon 388 uses ML signal reconstruction for classification, meaning there are no missing samples 389 (Pupil Labs blink detector, algorithm description 31.10.23). For this reason, a similar blink 390 classification pipeline was not possible, leading us to use the proprietary algorithms. In the 391 Pupil Neon, a machine-learning model is trained on the eye-camera video to classify eyelid 392 opening, eyelid closing, or neither eyelid opening nor closing (see their algorithm 393 description for more details on the parameters). After each frame is labelled, a 394 post-processing procedure defines the eye blinks using the temporal sequence of the eyelid 395 events. Especially, each blink is defined by onset and offset and a minimum blink duration 396 of 100 ms. The samples associated with the 100 ms before and after a blink event were also 397 marked as blink samples (Costela et al., 2014) and were not considered for subsequent 398 analysis from sample data, such as saccade classification. 399

Saccade Classification: Saccades were classified using the velocity profile of eye movements to extract saccades, following the methods of Engbert and Kliegl (2003) and Engbert and Mergenthaler (2006). The algorithm was derived from Ehinger et al. (2019) pipeline with the hyperparameter lambda adjusted to a value of 5 for saccades classification. Unlike Ehinger et al. (2019) method, we did not interpolate the samples since the EyeLink 1000 and the Pupil Neon had constant sampling rates of 1000 Hz and 200 Hz, respectively. This classifier was applied to the sample data.

<sup>407</sup> Note: After personal communication with B. Ehinger, the saccade classification
<sup>408</sup> pipeline will be updated from Engbert-Mergenthaler to REMoDNaV algorithm, which still
<sup>409</sup> uses the velocity profile of eye movements to extract saccade.

**Fixation Classification:** Samples not classified as blinks or saccades were labelled 410 as fixations. Fixations shorter than 50 ms were removed from the dataset. Since an 411 evaluation of the fixations classification is beyond the scope of the present study, we decided 412 to focus on the performance comparison between devices while acknowledging that the eye 413 movement classification described in this study is not optimized for mobile eye-trackers due 414 to head movements. However, we should make it clear that the tasks do not include any 415 moving objects, and the participants' heads were generally still despite no headrest 416 restrictions. Following Ehinger et al. (2019) analysis pipeline, the analysis of the gaze data 417 during the Head Movement task was only performed after the movement but not during. 418

Smooth Pursuits classification: An exception to this eye movements
classification was the Smooth Pursuit task, in which smooth pursuits were defined by gaze
movements with similar direction and velocity as the moving target. Please see "Task 2:
Smooth pursuits" for further details.

**Pupil Size:** The Eyelink 1000 computes the pupil size by counting the number of 423 pixels that are detected inside the pupil ellipse boundaries. Thus, the pupil size is given in 424 area. The Pupil Neon uses a deep learning algorithm (referred to as NeonNet) to compute 425 from the eve videos, and for each eve separately, a 3D model of the eveball from which the 426 pupil sizes in diameter (mm) are extracted (Pfeffer & Dierkes, 2024). The accuracy of the 427 pupil diameter measurements is also improved by specifying the user's inter-eve distance in 428 the user's profile before the recording, which we did. The pupil diameter reported in the 429 3D eye-state measurements was converted into pupil area using  $A = \frac{1}{4} \cdot \pi \cdot l_1 \cdot l_2$  where A 430 denotes the ellipsis area,  $l_1$  denotes the semi-major axis and  $l_2$  denotes the semi-minor axis. 431 The pupil size was then normalised to the median of a baseline period before the bright 432 stimulus onset, accounting for fluctuations due to attention or alertness. 433

<sup>434</sup> Note: The 3D eye-state measurements from Pupil Labs currently give us the pupil <sup>435</sup> diameter D. However, we do not know yet if we can access the two ellipse axes  $l_1$  and  $l_2$ <sup>436</sup> directly (ongoing communication with Pupil Labs). If we can access  $l_1$  and  $l_2$ , then the

<sup>437</sup> pupil size will be converted into pupil area as described above, before being

 $_{438}$  baseline-normalized. If we cannot access  $l_1$  and  $l_2$ , then we will standardize the pupil size

439 from both eye-trackers using the z-score, and then perform the baseline normalization.

#### 440 Measures of Gaze Data Quality

**Spatial Accuracy:** Spatial accuracy refers to the distance between the measured 441 gaze point and the target position (Holmqvist et al., 2012). It should be noted that the 442 actual gaze point might differ from the target position (e.g. due to misalignment of the 443 fovea despite the subjective direction of gaze towards the target), but we consider here the 444 target position as a proxy for the actual gaze point. This distance is often expressed by an 445 angular difference which can be computed by the cosine between the mean gaze point 446 vector and the target location vector. The vectors were converted from the Spherical 447 coordinate system to the Cartesian system to compute the cosine distance which results in 448 an angular difference between 0 and 180 degrees. The accuracy was monitored by first 440 calculating the 20% winsorized mean angular difference between the estimated gaze point 450 and the target location for each participant over blocks, and then reporting the 20%451 winsorized mean and the interquartile range (IQR) over the already averaged values for 452 both eye-trackers. Participants may make small eye movements during fixations or 453 catch-up saccades for the ones with large amplitude, which can especially happen during 454 the calibration or the grid tasks. In such cases, multiple candidates could be considered to 455 attribute fixations' coordinates. Similarly to Ehinger et al. (2019) method, we decided to 456 select the last ongoing fixation that happened just before participants pressed the space 457 bar. 458

459 Spatial Precision: Spatial precision refers to the variability in gaze coordinate 460 estimations, reflecting the noise in the data. The less dispersed the estimations are, the 461 better the spatial precision. The measure of the spatial precision was assessed in two ways: 462 by the root mean squared (RMS) of inter-sample distances and by the standard deviation 463 (SD) of the sample locations, respectively monitoring the proximity of consecutive samples and the spatial spread (see Ehinger et al. (2019) for a more detailed description). The
fixation spread was monitored by first calculating the 20% winsorized mean SD and RMS
for each participant over blocks, and then reporting the 20% winsorized mean and the
interquartile range (IQR) over the already averaged values for both eye-trackers.

#### 468 Task-specific Analyses

Task 1/Task 7/Task 10: Accuracy on Large and Small Grids. Spatial 469 accuracy was evaluated by computing winsorized means on the offset between the displayed 470 target and the mean gaze position of the last fixation before the new target appeared, and 471 spatial precision was assessed by computing winsorized means on RMS and SD measures 472 (see Spatial Accuracy and Spatial Precision sections). The mean difference in accuracy 473 between the two eye-trackers was assessed using the 95% bootstrap confidence interval 474 (95% CI). Spatial accuracy was compared between two groups of points - the center ones 475 and the edge ones - in order to evaluate the impact of target distance-from-center on 476 eye-trackers performances. Spatial accuracy was also measured at multiple time points to 477 evaluate accuracy decay: with no decay (directly after initial calibration), after some 478 temporal drift (2/3 of the block elapsed), and after provoked head movements (yaw and 479 roll task). The decay of accuracy over time was evaluated using a robust linear mixed 480 effects model with conservative Wald's t-test p-value calculation to account for outliers. 481 Following Ehinger et al. (2019) recommendations, the model was defined by LMMaccuracy 482  $\sim 1 + \text{et session} (1 + \text{et session} | \text{subject} block)$  and evaluated with the robustlmm R 483 package (Koller, 2016). 484

Task 2: Smooth pursuits. To analyze smooth pursuit onsets and velocities, we generalized the Liston and Stone (2014) model to a Bayesian framework using STAN. The x-y gaze coordinates of each trial were rotated to align with the target direction, fitting data up to the first saccade exceeding 1° or up to 600 ms after trial onset. We used a restricted piece-wise linear regression with a logistic transfer function for the hinge, assuming normal noise. The analysis relied on classifying initial saccades accurately. Then

the smooth pursuit detection was monitored by first calculating the mean posterior value of the hinge-point and velocity parameter for each trial, and then reporting the 20% winsorized mean and the interquartile range over blocks and subjects for both eye-trackers. The mean difference in smooth pursuit onsets and velocities between the two eye-trackers was assessed using the 95% bootstrap confidence interval (95% CI). Additionally, we recorded the number of saccades during target movement to control for sampling rate bias.

Task 3: Free viewing. The free-viewing task was analysed by first calculating the 497 20% winsorized mean fixation number, fixation durations, and saccadic amplitudes for each 498 participant over blocks, and then reporting the 20% winsorized mean and the interquartile 490 range over the already averaged values for both eve-trackers. The mean difference in 500 fixation number, fixation durations, and saccadic amplitudes between the two eye-trackers 501 was assessed using the 95% bootstrap confidence interval (95% CI). Additionally, we 502 visually compared gaze trajectories to assess the spatial inaccuracies. The first fixation on 503 the cross was excluded, and we smoothed a pixel-wise 2D histogram with a Gaussian kernel 504  $(SD = 3^{\circ})$  to analyze central fixation bias. 505

Task 4: Microsaccades. The microsaccades detection was monitored by first 506 calculating the 20% winsorized mean microsaccades number and amplitudes for each 507 participant over blocks, and then reporting the 20% winsorized mean and the interquartile 508 range over the already averaged values for both eye-trackers. The mean difference in 509 microsaccades number and amplitudes between the two eye-trackers was assessed using the 510 95% bootstrap confidence interval (95% CI). Additionally, we visually compared the main 51 sequences using the Engbert and Mergenthaler (2006) algorithm specifically for each block 512 to assess the variance of reported microsaccades. 513

Task 5: Blinks. The blink detection was monitored by first calculating the 20% winsorized mean blink number and durations for each participant over blocks, and then reporting the 20% winsorized mean and the interquartile range over the already averaged values for both eye-trackers, noting the use of different blink classification algorithms (see section "Eye Movement Definition and Classification"). The mean difference in blink
number and durations between the two eye-trackers was assessed using the 95% bootstrap
confidence interval (95% CI).

Task 6: Pupil Dilation. We analyzed the relative pupil areas for each luminance. 521 The normalized pupil response was calculated by dividing the pupil signal by the median 522 baseline pupil size before the bright stimulus onset. This adjustment was necessary due to 523 variations in the baseline levels, indicating potential influences such as attentional 524 processes or camera distance. The normalized pupil area is reported as a percent change 525 from the median baseline. Then the measurement of the pupil size was monitored by first 526 calculating the 20% winsorized mean normalized pupil area between 2s and 3s after 527 luminance change for each participant over blocks and luminance levels, and then reporting 528 the 20% winsorized mean and the interquartile range over the already averaged values for 529 each luminance level for both eye-trackers. The mean difference in pupil areas between the 530 two eye-trackers was assessed using the 95% bootstrap confidence interval (95% CI). 531

Task 8/9: Head Movements. For the roll movement task, the accuracy decay was monitored by first calculating the 20% winsorized mean gaze position 0.5 seconds before the button press for each participant over blocks, and then reporting the 20% winsorized mean and the interquartile range over the already averaged values for both eye-trackers. The gaze position was taken 0.5 seconds before the button press due to continuous fixation on the center of the line during the head movement which led to no new fixation detected.

For the yaw movement task, the accuracy decay was monitored by first calculating the 20% winsorized mean gaze position at the final fixation before the participants confirmed their yaw movement for each participant over blocks, and then reporting the 20% winsorized mean and the interquartile range over the already averaged values for both eye-trackers. For both roll and yaw tasks, the mean difference in accuracy between the two eye-trackers was assessed using the 95% bootstrap confidence interval (95% CI).

5	45

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Question	Hypothesis	Sampling plan	Analysis Plan	Rationale for deciding the sensitivity of the test for confirming or disconfirming the hypothesis	Interpretation given different outcomes	Theory that could be shown wrong by the outcomes
How accurate and precise are the Pupil Neon recordings when compared to the Eyelink 1000? Accuracy tasks (large & small grid task)	-	For logistical lab reasons, participants will be recruited in a time window of 2 weeks. We take however many we can get within that time with a minimum of 25 participants (cf. Ehinger, 2019).	Spatial accuracy is evaluated by computing the 20% winsorized mean (WS) offset between the displayed target and the mean gaze position of the last fixation before the new target appeared and its interquartile range (IQR), and spatial precision was assessed by computing WS on RMS of inter- sample distances and SD measures of sample locations and its IQR. The mean difference between the eye-trackers is assessed using the 95% bootstrap confidence interval (95% CI). The decay of accuracy over time was evaluated using a robust linear mixed effects model with conservative Wald's t-test p-value calculation to account for outliers.	-	- The more significant is the LMM, the stronger is the decay of accuracy over time. Determine if Neon accuracy and precision can be comparable to Eyelink 1000.	Eyelink 1000 is the gold standard for eye-tracking measurements.
Smooth pursuit task	-		First calculating the mean posterior value of the hinge-point and velocity parameter for each trial, and then reporting the 20% WS and the IQR over blocks and subjects. The mean difference between the eye-trackers is assessed using the 95% CI. Number of saccades recorded during target movement to control for sampling rate bias.	-	Determine if Neon smooth pursuit detection can be comparable to Eyelink 1000.	

Free viewing task	-	First calculating the 20% WS mean fixation number, fixation durations, and saccadic amplitudes for each participant, and then reporting the 20% WS and the IQR over the averaged values. The mean difference between the eye-trackers is assessed using the 95% Cl. Visual comparison of gaze trajectories to assess the spatial inaccuracies.	-	Determine if Neon fixation and saccade detection can be comparable to Eyelink 1000.
Microsaccade task	-	First calculating the 20% WS microsaccades number and amplitudes for each participant, and then reporting the 20% WS and the IQR over the averaged values. The mean difference between the eye-trackers is assessed using the 95% CI. Visual comparison of the main sequences using the Engbert (2006) algorithm to assess the variance of reported microsaccades.	-	Determine if Neon microsaccade detection can be comparable to Eyelink 1000.
Blinks task	-	First calculating the 20% WS blink number and durations for each participant, and then reporting the 20% WS and the IQR over the averaged values. The mean difference between the eye-trackers is assessed using the 95% CI.	-	Determine if Neon blink detection can be comparable to Eyelink 1000.
Pupil dilation task	-	First calculating the 20% WS pupil area between 2s and 3s after luminance change for each participant, and then reporting the 20% WS and the IQR over the averaged values for each luminance level. The mean difference between the eye-trackers is assessed using the 95% CI.	-	Determine if Neon measurement of the pupil size can be comparable to Eyelink 1000.

Head rolls task	-	First calculating the 20% WS gaze position 0.5 seconds before the button press for each participant, and then reporting the 20% WS and the IQR over the averaged values. The mean difference between the eye-trackers is assessed using the 95% CI.	Determine if Neon accuracy after roll movements can be comparable to Eyelink 1000.
Head yaws task	-	First calculating the 20% WS gaze position at the final fixation before the participants confirmed their yaw movement for each participant, and then reporting the 20% WS and the IQR over the averaged values. The mean difference between the eye-trackers is assessed using the 95% CI.	Determine if Neon accuracy after yaw movements can be comparable to Eyelink 1000.

#### **Guidance Notes**

- · Question: articulate each research question being addressed in one sentence.
- **Hypothesis**: where applicable, a prediction arising from the research question, stated in terms of specific variables rather than concepts. Where the testability of one or more hypotheses depends on the verification of auxiliary assumptions (such as positive controls, tests of intervention fidelity, manipulation checks, or any other quality checks), any tests of such assumptions should be listed as hypotheses. Stage 1 proposals that do not seek to test hypotheses can ignore or delete this column.
- Sampling plan: For proposals using inferential statistics, the details of the statistical sampling plan for the specific hypothesis (e.g power analysis, Bayes Factor Design Analysis, ROPE etc). For proposals that do not use inferential statistics, include a description and justification of the sample size.
- Analysis plan: For hypothesis-driven studies, the specific test(s) that will confirm or disconfirm the hypothesis. For non-hypothesis-driven studies, the test(s) that will answer the research question.
- Rationale for deciding the sensitivity of the test for confirming or disconfirming the hypothesis: For hypothesis-driven studies that employ inferential statistics, an explanation of how the authors determined a relevant effect size for statistical power analysis, equivalence testing, Bayes factors, or other approach.
- Interpretation given different outcomes: A prospective interpretation of different potential outcomes, making clear which outcomes would confirm or disconfirm the hypothesis.
- Theory that could be shown wrong by the outcomes: Where the proposal is testing a theory, make clear what theory could be shown to be wrong, incomplete, or otherwise inadequate by the outcomes of the research.